## Edgar Filing: Nally Thomas A. - Form 4

Nally Thomas A

Form 4 May 24, 2018												
										OMB APPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287			
Check this if no longe subject to Section 16 Form 4 or	s box er STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 5. SECURITIES								Expires: Estimated a burden hou response	irs per		
Form 5 obligation may contin <i>See</i> Instruct 1(b).	s Section 17( ction	a) of the		ility Hold	ing Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40	·			
(Print or Type R	esponses)											
Nally Thomas A. Symbol				er Name <b>and</b> Ticker or Trading IERITRADE HOLDING [AMTD]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
								(Check an applicable)				
(Last)         (First)         (Middle)         3. Date of           200 S. 108TH AVE.         05/22/20				-				Director      10% Owner        XOfficer (give title      Other (specify below)         EVP, Institutional Services				
				endment, Date Original nth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
OMAHA, NI	E 68154							Person	More than One Re	eporting		
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Execution Execut		3. Transactic Code (Instr. 8) Code V	4. Securi onAcquirec Disposec (Instr. 3,	ties l (A) o l of (D 4 and (A) or	or 9) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	05/22/2018			A A	284	(D) A	Price \$ 0	160,672	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Nally Thomas A. 200 S. 108TH AVE. OMAHA, NE 68154			EVP, Institutional Services					
Signatures								
/s/ David L. Lambert, as attorney-in-fact for Thomas A. 05/24/2018								
<u>**</u> Signature of Repo	rting Person		Date					

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.