#### Edgar Filing: TD AMERITRADE HOLDING CORP - Form 4

#### TD AMERITRADE HOLDING CORP

Form 4

November 25, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box if no longer

Expires: January 31, 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * BETTINO LORENZO A			2. Issuer Name <b>and</b> Ticker or Trading Symbol TD AMERITRADE HOLDING				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
		COL	RP [AMTD]				· ·	••			
(Last)	(First) (M		3. Date of Earliest Transaction			_X_ Director		6 Owner er (specify			
200 S. 108TH AVE.			(Month/Day/Year) 11/22/2016				Officer (give title Other (speci- below) below)				
(Street)			4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check				
Filed(Mont			Month/Day/Year	Ionth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person			
OMAHA, NE 68154							Form filed by More than One Reporting Person				
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi			5. Amount of	6. Ownership	7. Nature of		
(Instr. 3) any		Execution Dat	1				Securities	Form: Direct	Indirect		
		•	Code	. r		Beneficially	(D) or	Beneficial			
		(Month/Day/Y	ear) (Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership		
G.			Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)		
Common Stock	11/22/2016		A	18	A	\$0	8,254	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed	<b>.</b>	ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
				of (D) (Instr. 3, 4, and 5)						(Instr
				+, and 3)	Date	Expiration		Amount		
			Code V	(A) (D)	Exercisable	Date	Title	Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BETTINO LORENZO A 200 S. 108TH AVE.	X						
OMAHA, NE 68154							

# **Signatures**

/s/ Brenna O'Connor, as attorney-in-fact for Lorenzo A.
Bettino 11/23/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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