Meloun John P Form 4 July 02, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \*\*
Meloun John P

(First)

(Street)

2. Issuer Name **and** Ticker or Trading Symbol

JOINT Corp [JYNT]

3. Date of Earliest Transaction (Month/Day/Year)

16767 N. PERIMETER DR., STE. 06/28/2018 240

(Middle)

06/28/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

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Estimated average

burden hours per

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner \_\_X\_\_ Officer (give title \_\_\_\_ Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting

Person

SCOTTSDALE, AZ 85260

(City)	(State) (	(Zip) Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		ntion Date, if Transaction Code			quired l of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	06/28/2018		M	5,000	A	\$ 4.1	18,922	D	
Common Stock	06/28/2018		M	5,000	A	\$ 2.24	23,922	D	
Common Stock	06/28/2018		M	8,750	A	\$ 2.51	32,672	D	
Common Stock	06/28/2018		M	13,907	A	\$ 3.88	46,579	D	
Common Stock	06/28/2018		S	29,909	D	\$ 8.02	16,670	D	

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Common Stock	06/28/2018	S	10,630	D	\$8	6,040	D
Common Stock	06/29/2018	S	4,040	D	\$8	2,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

## $\label{thm:convertible} \textbf{Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned} \\ \textit{(e.g., puts, calls, warrants, options, convertible securities)}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 4.1	06/28/2018		M		5,000	<u>(1)</u>	03/14/2026	Common Stock	5,000
Employee Stock Option (right to buy)	\$ 2.24	06/28/2018		M		5,000	(2)	08/09/2026	Common Stock	5,000
Employee Stock Option (right to buy)	\$ 2.51	06/28/2018		M		8,750	(3)	11/08/2026	Common Stock	8,750
Employee Stock Option (right to buy)	\$ 3.88	06/28/2018		M		13,907	<u>(4)</u>	05/09/2027	Common Stock	13,907

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### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Meloun John P 16767 N. PERIMETER DR., STE. 240 SCOTTSDALE, AZ 85260

Chief Financial Officer

### **Signatures**

/s/Robin C. Friedman, Attorney-in-fact

07/02/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 5,000 options of a total award of 10,000 options vested in two equal installments on March 14, 2017 and 2018.
- (2) 5,000 options of a total award of 20,000 options vested on August 9, 2017.
- (3) 8,750 options of a total award of 35,000 options vested on November 8, 2017.
- (4) 13,907 options of a total award of 13,907 options vested on March 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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