NEWMONT MINING CORP /DE/

Form 4

March 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or Form 5

obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Symbol

[NEM]

1(b).

(Print or Type Responses)

GREENWOOD

(City)

1. Name and Address of Reporting Person *

Gottesfeld Stephen P

(Last) (First) (Middle)

6363 SOUTH FIDDLERS GREEN **CIRCLE**

(Street)

VILLAGE, CO 80111

NEWMONT MINING CORP / DE/

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year)

02/26/2016

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Issuer

(Check all applicable)

Director 10% Owner

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

X_ Officer (give title Other (specify below)

EVP and General Counsel

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(- 3)	1 able 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$1.60 par value	02/26/2016		Code V	Amount 1,138 (1)	(D)	Price \$ 25.6775	129,233	D	
Common Stock, \$1.60 par value	02/29/2016		A	5,329	A	\$ 0	134,562	D	
Common Stock,	02/29/2016		F	1,705 (2)	D	\$ 26.015	132,857	D	

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\$1.60 par value Common

Stock. \$1.60 par value

 $1,590^{(3)}$

Ι

By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion or Exercise Security (Instr. 3) Price of Derivative Security

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

Code of (Instr. 8)

5.

TransactionNumber **Expiration Date** Derivative Securities Acquired (A) or Disposed

of (D)

Code V (A) (D)

(Instr. 3, 4, and 5) (Month/Day/Year)

6. Date Exercisable and 7. Title and Amount of Underlying Securities (Instr. 3 and 4) 8. Price of Derivative Security (Instr. 5)

Deriv Secu Bene Own Follo

Repo Trans (Insti

9. Nu

Amount

or Expiration Title Number

of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

Date Exercisable

Director

10% Owner

Officer

Date

Other

Gottesfeld Stephen P 6363 SOUTH FIDDLERS GREEN CIRCLE

GREENWOOD VILLAGE, CO 80111

EVP and General

Counsel

Signatures

Logan H. Hennessey, as attorney-in-fact for Stephen P. Gottesfeld

03/01/2016

Date

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

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- (1) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 3,556 stock-settled restricted stock units.
- (2) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 5,329 stock-settled restricted stock units.
 - The reported shareholding is based on the Company's estimation of the share value correlated with the number of units in the fund. As of February 29, 2016, the reporting person held 1,590 shares or 5,817 units of Newmont Mining common stock in his 401(k) Plan.
- Fluctuation in share estimate since the filing of the last Form 4 reflects changes in the stock price rather than investments or dispositions of units within the stock fund.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.