

NEWMONT MINING CORP /DE/  
Form 4  
February 03, 2003

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

☐ Check this box if no  
longer subject to Section 16.  
Form 4 or Form 5  
obligations may continue.  
See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden  
hours per response. . .0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of  
the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment  
Company Act of 1940

Filed By  
Romeo and Dye's  
Section 16 Filer  
www.section16.net

|  |  |   |   |      |   |        |  |       |  |  |   |
|--|--|---|---|------|---|--------|--|-------|--|--|---|
| 1. Name and Address of Reporting Person* |  |   | 2. Issuer Name and Ticker or Trading Symbol   |      |   |        | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  |       |  |  |   |
| Dow, John A. S.                          |  |   | Newmont Mining Corporation NEM  |      |   |        | <input type="checkbox"/> Director<br><input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer (give title below)<br>Other (specify below) |       |  |  |   |
| (Last) (First) (Middle)                  |  |   | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)         |      |   |        | 4. Statement for Month/Day/Year  |       |  |  |   |
| 1700 Lincoln Street                      |  |   |   |      |   |        | 01/30/03   |       |  |  |   |
| (Street)                                 |  |   | 5. If Amendment, Date of Original (Month/Day/Year)                                    |      |   |        | 7. Individual or Joint/Group Filing (Check Applicable Line)  |       |  |  |   |
| Denver, CO 80203                         |  |   |   |      |   |        | <input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person                    |       |  |  |   |
| (City) (State) (Zip)                     |  |   | <b>Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b> |      |   |        |  |       |  |  |   |
| 1. Title of Security (Instr. 3)          | 2. Transaction Date (Month/ Day/ Year) | 2A. Deemed Execution Date, if any (Month/Day/ Year) | 3. Transaction Code (Instr. 8)  | Code | V | Amount | (A) or (D)   | Price | 5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock \$1.60 par value            | 01/30/03                               |   | F   |      |   | 1,614  | D  | 29.15 | 27,685   | D  |   |
|  |  |   |   |      |   |        |  |       | 1,208 <sup>(1)</sup>   | I  | By 401-K Plan   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

**FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction | 3A. Deemed Execution | 4. Transaction | 5. Number of | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying | 8. Price of Derivative Security | 9. Number of Derivative Securities | 10. Ownership | 11. Nature of Indirect Beneficial |
|---------------------------------|---------------------------|----------------|----------------------|----------------|--------------|---|-----------------------------------|---------------------------------|------------------------------------|---------------|-----------------------------------|
|---------------------------------|---------------------------|----------------|----------------------|----------------|--------------|---|-----------------------------------|---------------------------------|------------------------------------|---------------|-----------------------------------|

Edgar Filing: NEWMONT MINING CORP /DE/ - Form 4

| (Instr. 3) | Price of<br>Derivative<br>Security | Date<br>(Month/<br>Day/<br>Year) | Date,<br>if any<br>(Month/<br>Day/<br>Year) | Code<br>(Instr.<br>8) | Derivative<br>Security<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br><br>(Instr.<br>3, 4 &<br>5) |   |     | Month/Day/<br>Year |                      | Securities<br>(Instr. 3 & 4) |       | (Instr. 5)                             | Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Form<br>of Deriv-<br>ative<br>Security:<br>Direct<br>(D)<br>or<br>Indirect<br>(I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |
|------------|------------------------------------|----------------------------------|---|-----------------------|---|---|-----|--------------------|----------------------|------------------------------|-------|--|--|---|-------------------------|
|            |                                    |                                  |   |                       | Code  | V | (A) | (D)                | Date<br>Exer-cisable | Expira-<br>tion<br>Date      | Title | Amount<br>or<br>Number<br>of<br>Shares |  |   |                         |

Explanation of Responses:

(1) Holdings as of December 31, 2002 in Reporting Person's 401-K Plan.

(2) The Reporting Person has executed a power of attorney, a copy of which has been previously filed, that authorizes Ardis Young to sign this Form 4 on his behalf.

By: /s/ **Ardis Young, Attorney in Fact for John A. S. Dow<sup>(2)</sup>**

**February 3, 2003**  
Date

\*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.