Horizon Technology Finance Corp Form 8-K November 03, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 3, 2015

HORIZON TECHNOLOGY FINANCE CORPORATION

(Exact name of registrant as specified in its charter)

Delaware 814-00802 27-2114934

(State or other jurisdiction

(Commission File Number) (I.R.S. Employer Identification No.)

of incorporation)

312 Farmington Avenue

Farmington, CT 06032

(Address of principal executive offices and zip code)

Registrant's telephone number, including area code: (860) 676-8654

Check the appropriate box below if the Form 8-K is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

"Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

"Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

"Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

"Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 8 Other Events Item 8.01 Other Events

On November 3, 2015, Horizon Technology Finance Corporation (the "Company") announced that its Board of Directors has declared monthly dividends totaling \$0.345 per share, payable in such amounts and on such dates to stockholders of record, as set forth below.

Record Date Payment Date Amount Per Share December 18, 2015 January 15, 2015 \$0.115 January 21, 2016 February 17, 2016 \$0.115 February 22, 2016 March 15, 2016 \$0.115 Total Declared and to be Paid \$0.345

A copy of the press release announcing such dividend is attached hereto as Exhibit 99.1 and is incorporated by reference herein.

Section 9 Financial Statements and Exhibits Item 9.01 Financial Statements and Exhibits

(d) Exhibits.

99.1 Press release of the Company dated November 3, 2015.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 3, 2015 $\frac{\text{HORIZON TECHNOLOGY}}{\text{FINANCE CORPORATION}}$

By: <u>/s/ Robert D. Pomeroy, Jr.</u> Robert D. Pomeroy, Jr. *Chief Executive Officer*

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Exhibit Index

Exhibit No. Description

99.1 Press release of the Company dated November 3, 2015

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