Horizon Technology Finance Corp Form 4 March 24, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Anholt Investments Ltd. Issuer Symbol Horizon Technology Finance Corp (Check all applicable) [HRZN] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X 10% Owner Other (specify Officer (give title (Month/Day/Year) below) below) 69 PITTS BAY 03/24/2015 ROAD, BELVEDERE BUILDING, **4TH FLOOR** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person X\_ Form filed by More than One Reporting HAMILTON, D0 HM08 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of 6. Indirect Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Price Amount (D) Common Stock, par See value 03/24/2015 S 380.000 D 891.414 I Footnote 13.95 (1) (2) \$0.001 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
Repo	rting O	wners		Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
-	C	r Name / Address		Relationsh	iips						

	Director	10% Owner	Officer	Other					
Anholt Investments Ltd. 69 PITTS BAY ROAD BELVEDERE BUILDING, 4TH FLOOR HAMILTON, D0 HM08		Х							
Compass Horizon Partners, LP 69 PITTS BAY ROAD BELVEDERE BUILDING, 4TH FLOOR HAMILTON, D0 HM08		Х							
Navco Management, Ltd. 69 PITTS BAY ROAD BELVEDERE BUILDING, 4TH FLOOR HAMILTON, D0 HM08		Х							
Path Spirit LTD 10 NORWICH STREET LONDON, X0 EC4A 1BD		Х							
Signatures									
Anholt Investments Ltd. By: /s/ Cora Lee	03/24/2015								
<u>**</u> Signatur	Date								
Compass Horizon Partners, L.P. By: Navc Cora Lee Starzomski, Director	03/24/2015								
<u>**</u> Signatur	Date								
Navco Management Ltd. By: /s/ Cora Lee	03/24/2015								

#### **Reporting Owners**

Signatures

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<u>\*\*</u>Signature of Reporting Person

Path Spirit LTD. By: /s/ Arthur F. Coady, Director

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

380,000 shares of the Issuer's common stock were sold by Compass Horizon Partners, L.P. pursuant to an underwritten public offering on March 19, 2015. The shares of the Issuer's common stock reported on this Form 4 are owned directly by Compass Horizon Partners, L.P., which is owned by Concorde Horizon Holdings LP and Navco Management, Ltd. Concorde Horizon Holdings LP is owned by Anholt

(1) Investments Ltd. (formerly known as Compass Group Investments, Ltd.) and Navco Management, Ltd. Each of Anholt Investments Ltd. and Navco Management, Ltd. is wholly owned by Kattegat Limited, a Bermudian exempt company with its principal offices at Belvedere Building, 69 Pitts Bay Road, Pembroke HM 08, Bermuda. Kattegat Limited was formed for the purpose of holding and managing the endowed assets of The Kattegat Trust and is wholly owned by The Kattegat Trust.

The Kattegat Trust is a Bermudian charitable trust, engaged in the principal business of distributing income for charitable purposes, with its principal offices at 2 Reid Street, Hamilton HM 11, Bermuda. The trustee of The Kattegat Trust is Kattegat Private Trustees (Bermuda) Limited (the "Trustee"), a Bermudian trust company with its principal offices at 2 Reid Street, Hamilton HM 11, Bermuda.

(2) Path Spirit Limited is the trust protector for The Kattegat Trust. The Trustee is wholly owned by The Lund Purpose Trust, a Bermudian purpose trust with its principal offices at Thistle House, 4 Burnaby Street, Hamilton HM 11, Bermuda, formed for the sole purpose of holding the shares of the Trustee. Each of Anholt Investments Ltd., Navco Management, Ltd., Path Spirit Limited and Concorde Horizon Holdings LP disclaims beneficial ownership of the shares of the Issuer's common stock directly held by Compass Horizon Partners, L.P. except to the extent of its respective pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

03/24/2015

Date