Edgar Filing: Sorkin David - Form 4

Sorkin David Form 4	1											
February 22,	2019											
FORM	SECUD	TTIES		PPROVAL								
Check this box							GE C	.OMMINISSION	OMB Number:	3235-0287		
		U	Expires:	January 31, 2005								
if no long subject to Section 1 Form 4 or	6. SIAIEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimated average burden hours per response		
Form 5 obligation may conti <i>See</i> Instru 1(b).	inue. Section 17(Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type R	Responses)											
1. Name and A Sorkin David	ddress of Reporting d	Person <u>*</u>	2. Issuer Symbol KKR &			Ficker or T KKR]	rading	5	5. Relationship of Issuer			
(Last)	(First) (I	Aiddle)	3. Date of	Earliest	Tra	nsaction			(Chec	k all applicable	:)	
C/O KKR & CO. INC., 9 WEST 57TH STREET, 42ND FLOOR			(Month/Day/Year) 02/20/2019						Director 10% Owner Officer (give title Other (specify below) General Counsel and Secretary			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)						 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
NEW YORF												
(City)	(State)	(Zip)	Table	e I - Non	1-De	erivative Se	ecuriti	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Exec nstr. 3) any		on Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or				of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
C1 4				Code	V	Amount	(D)	Price	(IIIsu: 5 and 4)			
Class A Common Stock	02/20/2019			G <u>(1)</u>	V	75,000	D	\$0	75,000	I	See footnote (1)	
Class A Common Stock									171,407	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
Repo	rting C	Owners		Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owner Name / AddressRelationshipsDirector10% OwnerOfficerOtherSorkin David
C/O KKR & CO. INC.
9 WEST 57TH STREET, 42ND FLOOR
NEW YORK, NY 10019Issue - Issue -

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 reports the donation of 75,000 shares of Class A common stock of KKR & Co. Inc. by a limited partnership (the "Partnership"), which is an entity controlled by the Reporting Person, to a charitable organization. As previously reported on a Form 4

(1) filed on May 18, 2018, 150,000 shares of Class A common stock were being held by the Partnership solely for purposes of charitable donations, and after the donation of 75,000 shares being reported hereby, the Partnership continues to hold the remaining 75,000 shares of Class A common stock for future donations.

Remarks:

On July 1, 2018, KKR & Co. L.P., a Delaware limited partnership, converted into a Delaware corporation named KKR & Co.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.