

Albanese Peter
Form 4
June 13, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Albanese Peter

2. Issuer Name and Ticker or Trading Symbol
TRI-CONTINENTAL CORP [TY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
50606 AMERIPRISE FINANCIAL CENTER

3. Date of Earliest Transaction (Month/Day/Year)
06/12/2018

____ Director
____ Officer (give title below) 10% Owner
____ Other (specify below)
Portfolio Manager of Issuer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

MINEAPOLIS, MN 55474

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount or Price | | |
| Tri-Continental Corporation Common Stock | 06/12/2018 | | S | | 1,300 D \$ 27.511 | D | 9,267.593 |
| Tri-Continental Corporation Common Stock | 06/12/2018 | | S | | 600 D \$ 27.525 | D | 8,667.593 |
| Tri-Continental Corporation Common Stock | 06/12/2018 | | S | | 200 D \$ 27.513 | D | 8,467.593 |
| Tri-Continental Corporation | 06/12/2018 | | S | | 400 D \$ 27.51 | D | 8,067.593 |

| | | | | | | | | |
|-----------------------------|------------|---|-------|---|-----------|-----------|---|--|
| Common Stock | | | | | | | | |
| Tri-Continental Corporation | 06/12/2018 | S | 600 | D | \$ 27.5 | 7,467.593 | D | |
| Common Stock | | | | | | | | |
| Tri-Continental Corporation | 06/12/2018 | S | 400 | D | \$ 27.499 | 7,067.593 | D | |
| Common Stock | | | | | | | | |
| Tri-Continental Corporation | 06/12/2018 | S | 2,000 | D | \$ 27.493 | 5,067.593 | D | |
| Common Stock | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-----------------------------|
| | Director | 10% Owner | Officer | Other |
| Albanese Peter 50606 AMERIPRISE FINANCIAL CENTER MINEAPOLIS, MN 55474 | | | | Portfolio Manager of Issuer |

Signatures

Joseph D'Alessandro, Power of Attorney
06/13/2018

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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