Edgar Filing: Walden John Clinton - Form 4

Walden John	Clinton										
Form 4											
March 12, 20)18										
FORM	1 4								OMB A	PPROVAL	
	UNITEL	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								3235-0287	
Check thi				0 /					Expires:	January 31,	
if no long subject to		MENT O	F CHAN	CHANGES IN BENEFICIAL OWNERSHIP OF						2005	
Section 1				SECURITIES					Estimated average burden hours per		
Form 4 of										0.5	
Form 5	Filed pu	irsuant to S	Section 1	6(a) of the	e Securit	ies E	xchang	e Act of 1934,	response	010	
obligation	ns Section 17						U	1935 or Section	n		
may cont	inue.			vestment	•	· ·	•		-		
<i>See</i> Instru 1(b).	iction	00(11)	01 010 111	,	Company						
1(0).											
(Print or Type F	Responses)										
	ddress of Reporting	g Person [*]	2. Issuer	r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
Walden John Clinton Syn											
			DYNEO	GY INC. [[DYN]			(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Check				(all applicable)				
()	(2)	()		Month/Day/Year)				Director 10% Owner			
601 TRAVI	S STREET, SU	ITE 1400	03/08/2	•				Officer (give	title Othe	er (specify	
	,		02/00/2	010				below)	below)		
								VP and V	Chief Acct Off	lcer	
(Street) 2				4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
			Filed(Mor	th/Day/Year)			Applicable Line)			
								X Form filed by C Form filed by M			
HOUSTON	, TX 77002							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Da	te 2A. Deer	ned	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year	n Date, if Transaction(A) or Disposed of (D)					Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code (Instr. 3, 4 and 5)					Beneficially	(D) or Indirect (I)	Beneficial	
		(Month/I	Day/Year) (Instr. 8)					Owned	Ownership		
								Following Reported	(Instr. 4)	(Instr. 4)	
						(A)		Transaction(s)			
				Cala V	A	or	Dular	(Instr. 3 and 4)			
Common				Code V	Amount	(D)	Price				
Common Stock	03/08/2018			Μ	2,376	А	<u>(1)</u>	11,316	D		
Stock											
Common	03/08/2018			D	2,376	D	\$	8,940	D		
Stock	03/00/2018			D	2,570	D	13.47	0,940	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	a 3A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction of Derivative Code Securities		vative Expiration Date ies (Month/Day/Year) ed ed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(1)	03/08/2018		М	2,376	<u>(1)</u>	(1)	Common Stock	2,376	\$

Reporting Owners

Reporting Owner Name / Address	Relationships					
1 0	Director	10% Owner	Officer	Other		
Walden John Clinton 601 TRAVIS STREET SUITE 1400 HOUSTON, TX 77002			VP and Chief Acct Officer			
Signatures						
/s/ Heidi D. Lewis, Attorney-in-Fact		03/12/2018	3			
**Signature of Reporting Person		Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each restricted stock unit is the economic equivalent of one share of Dynegy Inc. common stock. The restricted stock units become

(1) payable in cash after the vesting date. On March 8, 2018, 2,376 restricted stock units vested and were settled in cash based upon the closing stock price of \$13.47 on March 8, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.