CLECO CORP

Form 4

February 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Crump Keith D

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

(Zip)

CLECO CORP [CNL] 3. Date of Earliest Transaction

(Check all applicable)

P.O. BOX 5000

(Month/Day/Year)

X_ Officer (give title below)

10% Owner Other (specify

01/28/2016

Sr Vice President

(City)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Director

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Dominative Committee Assuring Disposed of an Depolicially O

PINEVILLE, LA 71361-5000

(Street)

(State)

(,)	()	Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of	2. Transaction Date 2A. Deemed		3.	4. Securities		5. Amount of		7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired	red (A) or		Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed of (D)			Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
				(A)		Reported			
					or		Transaction(s)		
			Code V	Amount		Price	(Instr. 3 and 4)		
Common							45,000,0450		
Stock, \$1	01/28/2016		$D_{\underline{(1)}}$	1,546	D	<u>(2)</u>	45,099.3452	D	
	01/20/2010			1,5 10	D	_	(3)	D	
par									
Common									
Stock, \$1	01/28/2016		$F^{(4)}$	1,022	D	<u>(2)</u>	44,077.3452	D	
	01/20/2010		1	1,022	ט	<u>\/</u>	77,077.5752	D	
par									

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amoun	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A manuat		
									Amount		
						Date	Expiration		Or Number		
						Exercisable	Date	Title Nu	Number		
				C + V	(A) (D)						
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

Crump Keith D

P.O. BOX 5000 Sr Vice President

PINEVILLE, LA 71361-5000

Signatures

Julia E. Callis, Attorney-in-Fact for Keith D. 02/01/2016 Crump

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares forfeited under the Company's 2010 Long-Term Incentive Compensation Plan as the result of the Company's below-target performance during the three-year period ending December 31, 2015.
- (2) No value was exchanged for these shares.
- Total includes 210.4952 shares acquired through contributions and/or reinvestment of dividends under the Company's 401(k) plan and (3) reinvestment of dividends under the Company's Employee Stock Purchase Plan during the period beginning 02/01/2015 through
- 01/29/2016.
- Payment of tax liability by withholding securities incident to the receipt of shares awarded under the Company's 2010 Long-Term Incentive Compensation Plan for the three-year performance period ending December 31, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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