## Edgar Filing: PINNACLE FINANCIAL PARTNERS INC - Form 4

PINNACLE FINANCIAL PARTNERS INC Form 4 January 23, 2007 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MCCABE ROBERT A JR Issuer Symbol PINNACLE FINANCIAL (Check all applicable) PARTNERS INC [PNFP] (Middle) (Last) (First) 3. Date of Earliest Transaction \_X\_ Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 211 COMMERCE STREET, SUITE 01/19/2007 Chairman 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting NASHVILLE, TN 37201 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities 6. Ownership 7. Nature of 3. 5. Amount of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) anv Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price **PNFP** 4,053 Common 01/19/2007 A \$0 173,929 D A (1) Stock **PNFP** Common I IRA 138,761 Stock **PNFP** Common 1,086 I by Spouse Stock **PNFP** 2,370 Ι by Spouse

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| Common<br>Stock  |   |   |   |  |  |   |                    | IRA   |                                     |  |  |  |
|--|---|---|---|--|--|---|--------------------|---|-------------------------------------|--|--|--|
| PNFP<br>Common<br>Stock  |   |   |   |  | 15   | 59  | I                  | by trust fo<br>daughter   | r                                   |  |  |  |
| Reminder: Report on a separate line for each class of securities benefici  |   |   |   | cially owned directly or indirectly.<br>Persons who respond to the collection of<br>information contained in this form are not<br>required to respond unless the form<br>displays a currently valid OMB control<br>number. |  |   |                    | SEC 1474<br>(9-02)  |                                     |  |  |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |   |   |   |  |  |   |                    |   |                                     |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8)  | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A)<br>or Disposed or<br>(D)<br>(Instr. 3, 4,<br>and 5) | e Expiration Date<br>(Month/Day/Year)<br>(A)<br>ed of |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |  |  |  |
|  |   |   |   | Code V   | (A) (D   | Date<br>Exercisable                                   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |  |  |  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)   | \$ 31.25  | 01/19/2007                              |   | A  | 22,242   | (2)   | 01/19/2017         | PNFP<br>Common<br>Stock   | 22,242                              |  |  |  |

## **Reporting Owners**

| Reporting Owner Name / Addu   | ess        | Relationships |          |       |  |  |  |  |
|---|------------|---------------|----------|-------|--|--|--|--|
|   | Director   | 10% Owner     | Officer  | Other |  |  |  |  |
| MCCABE ROBERT A JR<br>211 COMMERCE STREET<br>SUITE 300<br>NASHVILLE, TN 37201 | ГX         |               | Chairman |       |  |  |  |  |
| Signatures  |            |               |          |       |  |  |  |  |
| Robert A.<br>McCabe, Jr.  | 01/23/2007 |               |          |       |  |  |  |  |
| <b>**</b> Signature of<br>Reporting Person                                    | Date       |               |          |       |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of restricted stock for which the forfeiture restrictions lay over a period of three years, subject to the issuer achieving predetermined, fully diluted earnings per share and soundness thresholds during the period.
- (2) The options will vest in annual 20% increments over a period of five years, beginning on the first anniversary (January 19, 2008) of the issue date (January 19, 2007). Options will become fully vested January 19, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.