

Boratto Eva C  
 Form 4  
 April 03, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Boratto Eva C

(Last) (First) (Middle)  
 ONE CVS DRIVE  
 (Street)

WOONSOCKET, RI 02895

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 CVS HEALTH Corp [CVS]

3. Date of Earliest Transaction (Month/Day/Year)  
 04/01/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 EVP & CFO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    | 04/01/2019                           |  | F                              | (A)<br>or<br>(D)<br>1,336<br>(1)                                  | \$<br>54.19   | D  |   |
| Common Stock (restricted)       |                                      |  |                                |   | 8,333   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount Number of Shares                                 |
| Stock Option                               | \$ 54.19   | 04/01/2019                           |  | A                              | 202,007   | 04/01/2020 <sup>(2)</sup> 04/01/2029                     | Common Stock 202,007  |
| Stock Option                               | \$ 54.53   |                                      |  |                                |   | 04/01/2014 <sup>(3)</sup> 04/01/2020                     | Common Stock 8,800  |
| Stock Option                               | \$ 74.29   |                                      |  |                                |   | 04/01/2015 <sup>(4)</sup> 04/01/2021                     | Common Stock 18,800   |
| Stock Option                               | \$ 102.26  |                                      |  |                                |   | 04/01/2016 <sup>(5)</sup> 04/01/2022                     | Common Stock 27,300   |
| Stock Option                               | \$ 104.82  |                                      |  |                                |   | 04/01/2017 <sup>(6)</sup> 04/01/2023                     | Common Stock 21,500   |
| Stock Option                               | \$ 78.05   |                                      |  |                                |   | 04/03/2018 <sup>(7)</sup> 04/03/2024                     | Common Stock 35,000   |
| Stock Option                               | \$ 62.21   |                                      |  |                                |   | 04/01/2019 <sup>(8)</sup> 04/01/2025                     | Common Stock 35,000   |

## Reporting Owners

| Reporting Owner Name / Address                         | Relationships |           |           |       |
|--|---------------|-----------|-----------|-------|
|  | Director      | 10% Owner | Officer   | Other |
| Boratto Eva C<br>ONE CVS DRIVE<br>WOONSOCKET, RI 02895 |               |           | EVP & CFO |       |

## Signatures

/s/ Eva C.  
Boratto

04/03/2019

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Surrender of shares in payment of withholding taxes due upon the vesting of a stock award under an incentive compensation plan.

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- (2) Option becomes exercisable in four equal annual installments, commencing 4/1/2020.
- (3) Option became exercisable in four equal annual installments, commencing 4/1/2014.
- (4) Option became exercisable in four equal annual installments, commencing 4/1/2015.
- (5) Option became exercisable in four equal annual installments, commencing 4/1/2016.
- (6) Option becomes exercisable in four equal annual installments, commencing 4/1/2017.
- (7) Option became exercisable in four equal annual installments, commencing 4/3/2018.
- (8) Option became exercisable in four equal annual installments, commencing 4/1/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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