

Gangestad Nicholas C  
 Form 4  
 February 11, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Gangestad Nicholas C

2. Issuer Name and Ticker or Trading Symbol  
 3M CO [MMM]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
 3M CENTER  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 02/07/2019

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 Sr. VP & CFO

ST. PAUL, MN 55144-1000

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock	02/07/2019		G	V 1,500 D \$ 0	33,690.7564	D	
Common Stock	02/07/2019		S	2,800 D \$ 201.4324	30,890.7564	D	
Common Stock	02/07/2019		S	100 D \$ 201.4535	30,790.7564	D	
Common Stock	02/07/2019		S	100 D \$ 201.4703	30,690.7564	D	
Common Stock	02/07/2019		M	1,681 A \$ 78.72	32,371.7564	D	

Edgar Filing: Gangestad Nicholas C - Form 4

Common Stock	02/07/2019	S	1,181	D	\$ 201.1459	31,190.7564	D
Common Stock	02/07/2019	S	202	D	\$ 201.168	30,988.7564	D
Common Stock	02/07/2019	S	98	D	\$ 201.1959	30,890.7564	D
Common Stock	02/07/2019	S	100	D	\$ 201.2003	30,790.7564	D
Common Stock	02/07/2019	S	100	D	\$ 201.2047	30,690.7564 <sup>(1)</sup>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Non-qualified Stock Option (Right to Buy) <sup>(2)</sup>	\$ 78.72	02/07/2019		M	1,681	Date Exercisable: 02/09/2011 Expiration Date: 02/07/2020	Common Stock 1,681

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Gangestad Nicholas C 3M CENTER ST. PAUL, MN 55144-1000			Sr. VP & CFO	

## Signatures

/s/ Sheila B. Clagherty, attorney-in-fact for Nicholas C.  
Gangestad

02/11/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares acquired under 3M's General Employee Stock Purchase Plan.

(2) This option became exercisable in equal installments on each of the first three anniversaries of the grant date (2/9/2010).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.