#### Edgar Filing: SOUTHWESTERN ENERGY CO - Form 4

#### SOUTHWESTERN ENERGY CO

Form 4 July 06, 2016

# FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Kehr Catherine A |   |               | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>SOUTHWESTERN ENERGY CO<br>[SWN] |   |      |                                       |        |  | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)   |  |   |  |
|--|---|---------------|--|---|------|---------------------------------------|--------|--|--|--|---|--|
| (Last) 10000 ENE   | (First) (ERGY DRIVE                             | Middle)       |  | f Earliest Transaction<br>Day/Year)<br>2016 |      |                                       |        |  | _X_ Director<br>Officer (give<br>below)  | 10% Owner give title Other (specify below)               |   |  |
|  | (Street) 4. If Amendment, D Filed(Month/Day/Yes |               |  |   |      | Č                                     |        |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                  |  |   |  |
| SPRING, TX 77389   |   |               |  |   |      |                                       |        | Form filed by More than One Reporting Person |  |  |   |  |
| (City)   | (State)   | (Zip)         | Tabl   | le I - No                                   | on-D | erivative                             | Secur  | ities Acqu                                   | uired, Disposed of   | , or Beneficiall   | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)                       | 2. Transaction Date<br>(Month/Day/Year)         | Execution any |  | 3.<br>Transa<br>Code<br>(Instr.             | 8)   | 4. Securi<br>n(A) or Di<br>(Instr. 3, | ispose | d of (D)                                     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Stock  | 04/01/2016                                      |               |  | J <u>(1)</u>                                | V    | 877                                   | A      | \$<br>8.9081                                 | 40,514   | D  |   |  |
| Common<br>Stock  | 07/01/2016(2)                                   |               |  | A   |      | 1,140                                 | A      | \$ 0   | 41,654   | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer                     |            | 7. Title a |        | 8. Price of | 9. Nu   |
|-------------|-------------|---------------------|--------------------|------------|------------|----------------------------------|------------|------------|--------|-------------|---------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if |            | onNumber   | Expiration D                     |            | Amount     |        | Derivative  | Deriv   |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/                      | Year)      | Underly    | _      | Security    | Secui   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                                |            | Securitie  | es     | (Instr. 5)  | Bene    |
|             | Derivative  |                     |                    |            | Securities | S                                |            | (Instr. 3  | and 4) |             | Own     |
|             | Security    |                     |                    |            | Acquired   |                                  |            |            |        |             | Follo   |
|             | ,           |                     |                    |            | (A) or     |                                  |            |            |        |             | Repo    |
|             |             |                     |                    |            | Disposed   |                                  |            |            |        |             | Trans   |
|             |             |                     |                    |            | of (D)     |                                  |            |            |        |             | (Instr  |
|             |             |                     |                    |            | (Instr. 3, |                                  |            |            |        |             | (IIISti |
|             |             |                     |                    |            | 4, and 5)  |                                  |            |            |        |             |         |
|             |             |                     |                    |            | 4, and 3)  |                                  |            |            |        |             |         |
|             |             |                     |                    |            |            |                                  |            | A          | mount  |             |         |
|             |             |                     |                    |            |            | D.                               | E          | OI         | r      |             |         |
|             |             |                     |                    |            |            | Date Expiration Exercisable Date | Expiration | Title N    | umber  |             |         |
|             |             |                     |                    |            |            |                                  | Date       | 01         |        |             |         |
|             |             |                     |                    | Code V     | (A) (D)    |                                  |            |            | hares  |             |         |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |        |  |  |
|--------------------------------|---------------|-----------|--------|--|--|
|                                | Director      | 10% Owner | Office |  |  |

Other 10% Owner Officer

Kehr Catherine A 10000 ENERGY DRIVE X SPRING, TX 77389

### **Signatures**

Melissa D. McCarty, Attorney-in-Fact for Mrs. 07/06/2016 Kehr

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On March 16, 2016, the Issuer declared a quarterly dividend of \$15.625 per share on its 6.25% Series B Mandatory Convertible Preferred Stock, payable on April 15, 2016, to holders of record on April 1, 2016. The dividend was paid in shares of common stock based on the
- Volume Weighted Average Price per share over the five consecutive trading day period ending on the second trading day immediately preceding the applicable dividend payment day, multiplied by 97%.
- (2) Granted in consideration of services as Chairman of the Board of Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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