

SunCoke Energy, Inc.
Form 3
November 04, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Yeast Gary P

(Last) (First) (Middle)

1011 WARRENVILLE ROAD,
STE. 600

(Street)

LISLE, IL 60532

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/01/2015

3. Issuer Name and Ticker or Trading Symbol
SunCoke Energy, Inc. [SXC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner

☒ Officer ☐ Other
(give title below) (specify below)

Senior Vice President

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

15,921

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
RSU's (Feb 2013) ⁽¹⁾	Â ⁽²⁾	Â ⁽²⁾	Common Stock	3,207	\$ 0	D	Â
RSU's (Feb 2014) ⁽³⁾	Â ⁽²⁾	Â ⁽²⁾	Common Stock	4,907	\$ 0	D	Â
RSU's (Feb 2015) ⁽⁴⁾	Â ⁽²⁾	Â ⁽²⁾	Common Stock	10,406	\$ 0	D	Â
Stock Options 2011.07.21 ⁽⁵⁾	07/21/2011	07/21/2021	Common Stock	40,404	\$ 17.39	D	Â
Stock Options 2013.02.20 ⁽⁵⁾	02/20/2013	02/20/2023	Common Stock	11,375	\$ 16.55	D	Â
Stock Options 2014.02.26 ⁽⁵⁾	02/26/2014	02/26/2024	Common Stock	9,703	\$ 22.3	D	Â
Stock Options 2015.02.18 ⁽⁵⁾	02/18/2015	02/18/2025	Common Stock	18,703	\$ 16.9	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Yeaw Gary P 1011 WARRENVILLE ROAD, STE. 600 LISLE, IL 60532	Â	Â	Â Senior Vice President	Â

Signatures

/s/ Rita M. Slager,
attorney-in-fact

11/04/2015

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Restricted share units granted on February 20, 2013 pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in
(1) a transaction exempt under Rule 16b-3. These restricted share units vest in three equal annual installments commencing on the first anniversary of the grant date.

(2) Not applicable.

Restricted share units granted on February 26, 2014 pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in
(3) a transaction exempt under Rule 16b-3. These restricted share units vest in three equal annual installments commencing on the first anniversary of the grant date.

Restricted share units granted on February 18, 2015 pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in
(4) a transaction exempt under Rule 16b-3. These restricted share units vest in three equal annual installments commencing on the first anniversary of the grant date.

(5)

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Grant of stock options (right to buy SunCoke Energy, Inc. common stock) awarded pursuant to the SunCoke Energy, Inc. Long-Term Performance Enhancement Plan in a transaction exempt under Rule 16b-3. These stock options are exercisable during a term expiring ten years from the date of the grant, and vest in three equal annual installments commencing on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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