

SOUTHWESTERN ENERGY CO

Form 4

December 09, 2013

FORM 4UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
KORELL HAROLD M

(Last) (First) (Middle)

SUITE 125, 2350 N. SAM
HOUSTON PARKWAY EAST

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
SOUTHWESTERN ENERGY CO
[SWN]

3. Date of Earliest Transaction
(Month/Day/Year)
12/05/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/21/2013		G	V 3,791 D \$ 0	765,037	I	by 2011 Family Limited Partnership (Peacetyme)
Common Stock	11/27/2013		G	V 161,274 D \$ 0	603,763	I	by 2011 Family Limited Partnership (Peacetyme)
	12/05/2013 ⁽¹⁾		A	3,437 A \$ 0	149,605	D	

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Common
Stock

Common
Stock

Common
Stock

Common
Stock

60,753

I

by AM
Irrevocable
Trust; Harold
Korell,
Trustee

819,704

I

By Family
Limited
Partnership

616,270

I

by 2011
Family
Limited
Partnership
(Keepsake)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V	(A)	(D)	
Stock Options (Right to Buy)	\$ 38.97	12/05/2013 ⁽¹⁾		A		4,682	12/05/2014 ⁽²⁾ 12/05/2020	Common Stock 4,682
Stock Options (Right to Buy)	\$ 34.5						12/06/2013 12/06/2019	Common Stock 4,870
Stock Options (Right to	\$ 36.87						12/08/2012 12/08/2018	Common Stock 4,450

Buy)

Stock

Options
(Right to
Buy)

\$ 36.22

12/09/2011

12/09/2017

Common
Stock

4,550

Stock

Options
(Right to
Buy)

\$ 40.73

12/10/2010

12/10/2016

Common
Stock

3,960

Stock

Options
(Right to
Buy)

\$ 30.68

12/11/2009

12/11/2015

Common
Stock

93,790

Stock

Options
(Right to
Buy)

\$ 27.18

12/13/2008

12/13/2014

Common
Stock

75,301

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

KORELL HAROLD M
SUITE 125
2350 N. SAM HOUSTON PARKWAY EAST
HOUSTON, TX 77032

X

Signatures

/s/ Melissa D. McCarty, Attorney-in-Fact for Mr.
Korell

12/09/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted stock and nonqualified stock options granted in consideration of services as a director.

(2) Nonqualified stock options become exercisable in three equal installments beginning on the first anniversary of the grant date specified in Column 3 or immediately upon death, disability, retirement at age 65 with required years of service, or a change in control.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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