

IPG PHOTONICS CORP

Form 4

April 26, 2017

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Shcherbakov Eugene A

(Last) (First) (Middle)

C/O IPG PHOTONICS  
CORPORATION, 50 OLD  
WEBSTER ROAD

(Street)

OXFORD, MA 01540

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

IPG PHOTONICS CORP [IPGP]

3. Date of Earliest Transaction  
(Month/Day/Year)

04/24/2017

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☒ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

SVP, MD IPG Laser GmbH

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/24/2017		M	1,125	A \$ 58.65	17,082	D
Common Stock	04/24/2017		D <sup>(1)</sup>	1,125	D \$ (2) 124.31	15,957	D
Common Stock	04/24/2017		M	2,000	A \$ 53.76	17,957	D
Common Stock	04/24/2017		D <sup>(1)</sup>	2,000	D \$ (3) 124.39	15,957	D

Edgar Filing: IPG PHOTONICS CORP - Form 4

Common Stock	04/24/2017	M	2,000	A	\$ 60.11	17,957	D
Common Stock	04/24/2017	D <sup>(1)</sup>	2,000	D	\$ 124.87 <sup>(4)</sup>	15,957	D
Common Stock	04/25/2017	M	1,700	A	\$ 15.82	17,657	D
Common Stock	04/25/2017	D <sup>(1)</sup>	1,700	D	\$ 126.12 <sup>(5)</sup>	15,957	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 58.65	04/24/2017		M	1,125	<sup>(6)</sup> 02/13/2022	Common Stock	1,125
Employee Stock Option (right to buy)	\$ 53.76	04/24/2017		M	2,000	<sup>(7)</sup> 02/28/2021	Common Stock	2,000
Employee Stock Option (right to buy)	\$ 60.11	04/24/2017		M	2,000	<sup>(8)</sup> 02/28/2023	Common Stock	2,000

Employee

Stock

Option	\$ 15.82	04/25/2017	M	1,700	<u>(9)</u>	02/25/2020	Common Stock	1,700
--------	----------	------------	---	-------	------------	------------	-----------------	-------

(right to  
buy)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Shcherbakov Eugene A C/O IPG PHOTONICS CORPORATION 50 OLD WEBSTER ROAD OXFORD, MA 01540	X	X	SVP, MD IPG Laser GmbH	

## Signatures

/s/ Angelo P. Lopresti,  
Attorney-in-fact

04/25/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 14, 2017.

The reported transaction involves sales transactions from \$122.67 to \$124.69 per share. The weighted average price per share was

(2) \$124.31 The Reporting Person undertakes to provide upon request by the SEC staff, the Issuer or a security holder of the Issuer information regarding the number of shares sold at each separate price.

The reported transaction involves sales transactions from \$123.82 to \$124.94 per share. The weighted average price per share was

(3) \$124.39. The Reporting Person undertakes to provide upon request by the SEC staff, the Issuer or a security holder of the Issuer information regarding the number of shares sold at each separate price.

The reported transaction involves sales transactions from \$124.11 to \$124.87 per share. The weighted average price per share was

(4) \$124.51. The Reporting Person undertakes to provide upon request by the SEC staff, the Issuer or a security holder of the Issuer information regarding the number of shares sold at each separate price.

The reported transaction involves sales transactions from \$125.82 to \$126.64 per share. The weighted average price per share was

(5) \$126.12 The Reporting Person undertakes to provide upon request by the SEC staff, the Issuer or a security holder of the Issuer information regarding the number of shares sold at each separate price.

(6) Option vests in four quarterly installments commencing on March 31, 2016.

(7) Option vests in four quarterly installments commencing on March 31, 2015.

(8) Option vests in one installment on March 1, 2017.

(9) Option vests in four quarterly installments commencing on March 31, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.