EAST WEST BANCORP INC

Form 4

March 09, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number: January 31,

OMB APPROVAL

Expires: 2005 Estimated average

burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Ad GOUW JULI	dress of Reporting Perso A S	2. Issuer Name and Ticker or Trading Symbol EAST WEST BANCORP INC [EWBC]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) 135 N. LOS I FLOOR	(First) (Middl	(Month/Day/Year)	_X_ Director 10% OwnerX_ Officer (give title Other (specify below) President		
D. G. I. D. D. V. I.	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
PASADENA	, CA 91101		Person		

THORDENI, CH 71101	Perso

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/07/2016		M	13,069	A	(1)	13,069	D	
Common Stock	03/07/2016		M	10,693	A	<u>(2)</u>	23,762	D	
Common Stock	03/07/2016		F	7,952	D	\$ 32.07	15,810	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form

Edgar Filing: EAST WEST BANCORP INC - Form 4

displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day)	ate	7. Title and A Underlying S (Instr. 3 and A
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Performance-Based Restricted Stock Units	<u>(1)</u>	03/07/2016		M	13,069	<u>(1)</u>	03/07/2016	Common Stock
Time-Based Restricted Stock Units	(2)	03/07/2016		M	10,693	(2)	03/07/2016	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships					
intermed in the real control of the	Director	10% Owner	Officer	Other		
GOUW JULIA S 135 N. LOS ROBLES AVE. 7TH FLOOR PASADENA, CA 91101	X		President			

Signatures

/s/ Doulgas P. Krause, as Attorney-in-fact 03/09/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Performance-Based Restricted Stock Units granted on March 7, 2013 fully vest in three years. Number of vested units depends on meeting pre-established performance criteria. As of March 7, 2016, 100% of the units granted on March 7, 2013 were earned and vested. On the settlement date, for each vested Performance-Based Restricted Stock Unit, the reporting person received one share of Common Stock.
- Time-Based Restricted Stock Units granted on March 7, 2013 fully vest in three years, vesting is also subject to meeting pre-established earning goals. As of March 7, 2016, 100% of the units granted on March 7, 2013 were earned and vested. On the settlement date, for each vested Time-Based Restricted Stock Unit, the reporting person received one share of Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Edgar Filing: EAST WEST BANCORP INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.