

Edgar Filing: DUNES HOTELS & CASINOS INC - Form 5

DUNES HOTELS & CASINOS INC

Form 5

February 13, 2002

U. S. SECURITIES AND EXCHANGE COMMISSION

FORM 5

☒ Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

Washington, D.C. 20549

See instruction 1(b).

☐ Form 3 Holdings Reported.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERS

☐ Form 4 Transactions Reported.

Filed pursuant to Section 16(a) of the Securities Exchange Act of
Utility Holding Company Act of 1935 or Section 30(f) of the In

1. Name and Address of
Reporting Person*

2. Issuer Name and Ticker or Trading Symbol

6. Re
Is

Dunes Hotels and Casinos, Inc.

Di

(Last) (First) (Middle)

3. IRS or Social Security
Number of Reporting
Person (Voluntary)

4. Statement for
Month/Year

Of
(g
b

General Financial Services, Inc.

12/2001

(Street)

5. If Amendment, Date of
Original (Month/Year)

7. Indi
(Che

8441 E. 32nd Street N

Pe
Fo
Re

(City) (State) (Zip)

Table 1 - Non-Derivative Securities Acquired, Disposed of

Wichita, Kansas 67226

1. Title of Security
(Instr. 3)

2. Trans-
action
date:
(Month/
Day/
Year)

3. Trans-
action
Code
(Instr. 8)

4. Securities Acquired (A)
or Disposed of (D)
(Instr. 3, 4 and 5)

5. Amount of
Securities
Beneficial
Owned at
End of Iss
Fiscal Yea
(Instr. 3
and 4)

Amount (A) or Price
(D)

Common Stock

5/15/01

P

380

A

\$1.00

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Common Stock	5/17/01	P	75	A	\$1.00
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Common Stock	5/23/01	P	400	A	\$1.00
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Common Stock	5/24/01	P	10	A	\$1.00
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Common Stock	6/13/01	P	1,300	A	\$1.00
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Common Stock	9/19/01	P	2,000	A	\$1.00
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Common Stock					1,007,7
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Common Stock					3,000,0
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Series B Preferred Stock					2,0
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* If the Form is filed by more than one Reporting Person, see instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Re

options, convertible securities)

(A)	(D)	Date Exer- cisable	Expira- tion Date	Title	Amount or Number of Shares
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Explanation of Responses: (1) The 1,007,774 shares of common stock are directly owned by GFS Acq Financial Services, Inc. ("GFS") as the sole shareholder of GFS Acquisition Company, Inc. and shares owned by GFS Acquisition Company, Inc. Steve K. Miller as the sole stockholder of GFS and GFS and GFS Acquisition Company, Inc. beneficially owns the shares of common stock owned directly (2) The 2,093 shares of Series B preferred stock and 3,000,000 shares of common stock are owned d sole stockholder and director of GFS and as a result beneficially owns the shares of Series B pre GFS.

/s/ Steve K. Miller

Steve K. Miller

*** Intentional misstatements or omissions of facts constitute Federal Criminal Violations
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

GENERAL FINANCIAL SERVICES, I

By: /s/ Steve K. Miller

Steve K. Miller, President

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GFS ACQUISITION COMPANY, INC.

By: /s/ Steve K. Miller

Steve K. Miller, President

Form 5

Reporting Person

General Financial Services, Inc.

Date of Event Requiring Statement

Year 2002

Issuer Name

Dunes Hotels and Casinos Inc.

List of Other Joint Filers

Names and Addresses

Steve K. Miller; 8441 E. 32nd Street N, Wichita, Kansas 67226

GFS Acquisition Company, Inc., 8441 E. 32nd Street N., Wichita, Kansas 67226