

Edgar Filing: DUNES HOTELS & CASINOS INC - Form 5

DUNES HOTELS & CASINOS INC

Form 5

February 13, 2002

U. S. SECURITIES AND EXCHANGE COMMISSION

FORM 5

☒ Check box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue.

Washington, D.C. 20549

See instruction 1(b).

☐ Form 3 Holdings Reported.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERS

☐ Form 4 Transactions Reported.

Filed pursuant to Section 16(a) of the Securities Exchange Act of
Utility Holding Company Act of 1935 or Section 30(f) of the In

1. Name and Address of
Reporting Person*

2. Issuer Name and Ticker or Trading Symbol

6. Re
Is

Dunes Hotels and Casinos, Inc.

X Di

(Last) (First) (Middle)

3. IRS or Social Security
Number of Reporting
Person (Voluntary)

4. Statement for
Month/Year

X Of
--- (g
b

Peadon, D. Cary

12/2001

(Street)

5. If Amendment, Date of
Original (Month/Year)

7. Indi
(Che
X Fo
--- Pe
--- Fo
Re

8441 E. 32nd Street N., Suite 200

(City) (State) (Zip)

Table 1 - Non-Derivative Securities Acquired, Disposed of

Wichita, Kansas 67226

1. Title of Security
(Instr. 3)

2. Trans-
action
date:
(Month/
Day/
Year)

3. Trans-
action
Code
(Instr. 8)

4. Securities Acquired (A)
or Disposed of (D)
(Instr. 3, 4 and 5)

5. Amount of
Securities
Beneficial
Owned at
End of Iss
Fiscal Yea
(Instr. 3
and 4)

Amount (A) or Price
(D)

Common Stock

11/30/01

S

3,100

D

\$1.05

0

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* If the Form is filed by more than one Reporting Person, see instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Re

FORM 5 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned options, convertible securities)

1. Title of Derivative Security (Inst. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/ Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
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(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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Explanation of Responses:

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/s/ D. Cary Peadon

D. Cary Peadon

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which
must be manually signed. If space provided is
insufficient, see Instruction 6 for procedure.