

Edgar Filing: ARMEN GARO H - Form SC 13G/A

ARMEN GARO H  
Form SC 13G/A  
February 13, 2002

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

SCHEDULE 13G

UNDER THE SECURITIES EXCHANGE ACT OF 1934  
(Amendment No. 1)\*

ANTIGENICS INC.  
(Name of Issuer)

COMMON STOCK, \$0.01 PAR VALUE  
(Title of Class of Securities)

037032-10-9  
(CUSIP Number)

DECEMBER 31, 2001  
[Date of Event that Requires Filing this Statement]

CHECK THE APPROPRIATE BOX TO DESIGNATE THE RULE PURSUANT TO WHICH THIS  
SCHEDULE IS FILED:

- RULE 13D-1(B)  
 RULE 13D-1(C)  
 RULE 13D-1(D)

-----  
\* The remainder of this cover page shall be filled out for a reporting person's  
initial filing on this form with respect to the subject class of securities, and  
for any subsequent amendment containing information which would alter the  
disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed  
to be "filed" for the purpose of Section 18 of the Securities Exchange Act of  
1934 (the "Act") or otherwise subject to the liabilities of that section of the  
Act but shall be subject to all other provisions of the Act (however, see the  
Notes).

CUSIP No. 037032-10-9

13G

Page 2 of 11 Pages

-----  
1 NAMES OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Garo Armen

-----  
2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* (a) |X|  
(b) |\_|

Edgar Filing: ARMEN GARO H - Form SC 13G/A

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States of America

	5	SOLE VOTING POWER	
			304,004 (1)
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	6	SHARED VOTING POWER	
			11,304,274 (2)
	7	SOLE DISPOSITIVE POWER	
			304,003 (1)
	8	SHARED DISPOSITIVE POWER	
			11,304,274 (2)

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11,608,278

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

34.9%

12 TYPE OF REPORTING PERSON\*

IN

(1) Includes 249,004 shares issuable upon exercise of options to purchase Antigenics Inc. common stock.

(2) Consists of (i) 11,154,274 shares held by Antigenics Holdings LLC, of which Dr. Armen is the Chief Executive Officer, Chairman of the Board of Managers and a member and (ii) 150,000 shares held by Armen Partners LP, of which Dr. Armen is the General Partner.

CUSIP No. 037032-10-9

13G

Page 3 of 11 Pages

1 NAMES OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Antigenics Holdings LLC (13-3769335)

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* (a)   
(b)

Edgar Filing: ARMEN GARO H - Form SC 13G/A

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States of America

5 SOLE VOTING POWER

NUMBER OF  
SHARES  
BENEFICIALLY  
OWNED BY  
EACH  
REPORTING  
PERSON  
WITH

11,154,274

6 SHARED VOTING POWER

7 SOLE DISPOSITIVE POWER

11,154,274

8 SHARED DISPOSITIVE POWER

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

11,154,274

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

34.2%

12 TYPE OF REPORTING PERSON\*

OO-Limited Liability Company

CUSIP No. 037032-10-9

13G

Page 4 of 11 Pages

1 NAMES OF REPORTING PERSONS  
I.R.S. IDENTIFICATION NO. OF ABOVE PERSONS (ENTITIES ONLY)

Armen Partners LP (22-2964842)

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* (a)   
(b)

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

United States of America

5 SOLE VOTING POWER

NUMBER OF

150,000

Edgar Filing: ARMEN GARO H - Form SC 13G/A

SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	----- 6 SHARED VOTING POWER ----- 7 SOLE DISPOSITIVE POWER 150,000 ----- 8 SHARED DISPOSITIVE POWER -----
9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	150,000
10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*	<input type="checkbox"/>
11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)	.01%
12 TYPE OF REPORTING PERSON*	OO-Limited Partnership

CUSIP No. 037032-10-9

13G

Page 5 of 11 Pages

ITEM 1(a). NAME OF ISSUER:  
Antigenics Inc.

ITEM 1(b). ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

630 Fifth Avenue, Suite 2100  
New York, NY 10111

ITEM 2(a). NAME OF PERSON FILING:

Garo Armen  
Antigenics Holdings LLC  
Armen Partners LP

ITEM 2(b). ADDRESS OF PRINCIPAL BUSINESS OFFICES OR, IF NONE, RESIDENCE:

For each Reporting Person:

c/o Antigenics Inc.  
630 Fifth Avenue, Suite 2100  
New York, NY 10111

ITEM 2(c). CITIZENSHIP:

Garo Armen is a citizen of the United States of America. Antigenics Holdings LLC is a Delaware limited liability company. Armen Partners LP is a Delaware limited partnership.

ITEM 2(d). TITLE OF CLASS OF SECURITIES:

Common Stock, \$0.01 par value per share.

Edgar Filing: ARMEN GARO H - Form SC 13G/A

ITEM 2 (e). CUSIP NUMBER:

037032-10-9

ITEM 3. IF THIS STATEMENT IS FILED PURSUANT TO RULE 13D-1(b), OR 13d-2(b) OR (c), CHECK WHETHER THE PERSON FILING IS A:

- (a)  Broker or dealer registered under Section 15 of the Exchange Act.
- (b)  Bank as defined in Section 3(a)(6) of the Exchange Act.
- (c)  Insurance company as defined in Section 3(a)(19) of the Exchange Act.
- (d)  Investment Company registered under Section 8 of the Investment Company Act.
- (e)  An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);

CUSIP No. 037032-10-9

13G

Page 6 of 11 Pages

- (f)  An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);
- (g)  A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G);
- (h)  A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act;
- (i)  A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act;
- (j)  Group, in accordance with Rule 13d-1(b)(1)(ii)(J).

Not applicable.

ITEM 4. OWNERSHIP (AS OF DECEMBER 31, 2000).

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) Amount beneficially owned:  
See Box 9 on cover pages.
- (b) Percent of class:  
See Box 11 on cover pages.
- (c) Number of shares as to which such person has:
  - (i) Sole power to vote or direct the vote:  
See Box 5 on cover pages.
  - (ii) Shared power to vote or direct the vote:

Edgar Filing: ARMEN GARO H - Form SC 13G/A

See Box 6 on cover pages.

CUSIP No. 037032-10-9

13G

Page 7 of 11 Pages

(iii) Sole power to dispose or to direct the disposition of:

See Box 7 on cover pages.

(iv) Shared power to dispose or to direct the disposition of:

See Box 8 on cover pages.

ITEM 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS.

If this statement is being filed to report the fact that as of the date hereof the reporting person had ceased to be the beneficial owner of more than five percent of the class of securities, check the following [ ].

ITEM 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

Not applicable.

ITEM 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY.

Not applicable.

ITEM 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP.

Not applicable.

ITEM 9. NOTICE OF DISSOLUTION OF GROUP.

Not applicable.

ITEM 10. CERTIFICATION.

Not applicable.

CUSIP No. 037032-10-9

13G

Page 8 of 11 Pages

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 8, 2002

/s/ Garo Armen

-----  
Garo Armen

Antigenics Holdings LLC

By: /s/ Garo Armen

Edgar Filing: ARMEN GARO H - Form SC 13G/A

-----  
Name: Garo Armen  
Title: Manager

Armen Partners LP

By: /s/ Garo Armen

-----  
Name: Garo Armen  
Title: General Partner

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative (other than an executive officer or general partner of the filing person), evidence of the representative's authority to sign on behalf of such person shall be filed with the statement, provided, however, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and any title of each person who signs the statement shall be typed or printed beneath his signature.

Attention. Intentional misstatements or omissions of fact constitute Federal criminal violations (See 18 U.S.C. 1001).

CUSIP No. 037032-10-9

13G

Page 9 of 11 Pages

EXHIBIT INDEX TO SCHEDULE 13G

Exhibit 1

-----

Agreement as to Joint Filing of Schedule 13G.

Exhibit 2

-----

List of all members of group.