

Edgar Filing: FISCHMAN STEVEN - Form 5

FISCHMAN STEVEN  
 Form 5  
 November 15, 2001

<p>-----                  FORM 5                  -----</p>	<p>-----                  OMB APPROVAL                  -----                  OMB Number: 3235-0362                  Expires: December 31, 2001                  Estimated average burden                  hours per response .... 1.0</p>
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<p>// CHECK BOX IF NO                  LONGER SUBJECT TO                  SECTION 16. FORM                  4 OR FORM 5                  OBLIGATIONS MAY                  CONTINUE. SEE                  INSTRUCTION 1(b)                  // FORM 3 HOLDINGS                  REPORTED                  // FORM 4                  TRANSACTIONS                  REPORTED</p>	<p>UNITED STATES SECURITIES AND EXCHANGE COMMISSION                  WASHINGTON, D.C. 20549</p> <p>ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP</p> <p>Filed pursuant to Section 16(a) of the Securities                  Exchange Act of 1934,                  Section 17(a) of the Public Utility                  Holding Company Act of 1935 or Section 30(f) of                  the Investment Company Act                  of 1940</p>
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<p>1. Name and Address of Reporting Person*                  Fischman, Steven                  -----                  (Last) (First) (Middle)                  40 Pequot Way                  -----                  (Street)                  Canton, Ma 02021                  -----                  (City) (State) (Zip)</p>	<p>2. Issuer Name and Ticker or Trading Symbol 6. R                  Tweeter Home Entertainment Group, Inc. TWTR I</p> <hr/> <p>3. I.R.S. Identification 4. Statement for                  Number of Reporting Month/Year                  Person, if an Entity 9/2001                  (Voluntary)</p> <hr/> <p>5. If Amendment,                  Date of Original                  (Month/Year)</p> <hr/> <p>7. I                  (</p>
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TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

1. Title of Security (Instr. 3)	2. Trans- action Date  (Month/ Day/ Year)	3. Transac- tion Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount o curities cially O End of I Fiscal Y (Instr.
			----- Amount (A) or Price (D)	
Common Stock	2/23/01	G(1)	8,000 D 18.563	0

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\*If the form is filed by more than one Reporting Person, see instruction 4(b)(v).

FORM 5 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL  
(E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7.
				(A) (D)	Date Exercisable	Expiration Date
Option	12.97	9/27/01	J(2)	3,500	9/27/01	9/27/04

1. Title of Derivative Security

9. Number of Derivative

10. Ownership of Derivative

11. Nature of Indirect

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(Instr. 3)	Securities Beneficially Owned at End of Year (Instr. 4)	Security: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
Option	21,000	D	

Explanation of Responses:

1. Gift of 8,000 shares to Kenyow College.
2. The 3,500 option shares granted on 9/27/2001 are fully vested upon issuance and expire on 9/27/2004.

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/  
-----  
\*\*Sig  
  
/s/ J

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.