

Edgar Filing: AGIC Equity & Convertible Income Fund - Form N-PX

AGIC Equity & Convertible Income Fund
Form N-PX
August 31, 2010

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number: 811-21989

Nicholas-Applegate Equity & Convertible Income Fund
(Exact name of registrant as specified in charter)

1345 Avenue of the Americas, New York, NY 10105
(Address of Principal Executive Office)

Allianz Global Investors Fund Management LLC
1345 Avenue of the Americas
New York, NY 10105
(Name and Address of Agent for Service)

Registrant's telephone number, including area code: 212-739-3000

Date of fiscal year end: January 31

Date of reporting period: July 1, 2009 through June 30, 2010

ITEM 1. PROXY VOTING RECORD

***** FORM N-Px REPORT *****

ICA File Number: 811-21989
Reporting Period: 07/01/2009 - 06/30/2010
Nicholas-Applegate Equity & Convertible Income Fund

===== NICHOLAS-APPLEGATE EQUITY & CONVERTIBLE INCOME FUND =====

ABBOTT LABORATORIES

Ticker: ABT Security ID: 002824100
Meeting Date: APR 23, 2010 Meeting Type: Annual
Record Date: FEB 24, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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| | | | | |
|------|--|---------|---------|-------------|
| 1.1 | Elect Robert Alpern | For | For | Management |
| 1.2 | Elect Roxanne Austin | For | For | Management |
| 1.3 | Elect William Daley | For | For | Management |
| 1.4 | Elect W. James Farrell | For | For | Management |
| 1.5 | Elect H. Laurance Fuller | For | For | Management |
| 1.6 | Elect William Osborn | For | For | Management |
| 1.7 | Elect David Owen | For | For | Management |
| 1.8 | Elect Roy Roberts | For | For | Management |
| 1.9 | Elect Samuel Scott III | For | For | Management |
| 1.10 | Elect William Smithburg | For | For | Management |
| 1.11 | Elect Glenn Tilton | For | For | Management |
| 1.12 | Elect Miles White | For | For | Management |
| 2 | Ratification of Auditor | For | For | Management |
| 3 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |
| 4 | Shareholder Proposal Regarding Right to Call a Special Meeting | Against | Against | Shareholder |

AGCO CORPORATION

Ticker: AGCO Security ID: 001084102
 Meeting Date: APR 22, 2010 Meeting Type: Annual
 Record Date: MAR 12, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|------------------------------------|---------|-----------|------------|
| 1.1 | Elect Francsico Roberto Andre Gros | For | Withhold | Management |
| 1.2 | Elect Gerald Johanneson | For | For | Management |
| 1.3 | Elect George Minnich | For | For | Management |
| 1.4 | Elect Curtis Moll | For | For | Management |
| 2 | Ratification of Auditor | For | For | Management |

AMPHENOL CORPORATION

Ticker: APH Security ID: 032095101
 Meeting Date: MAY 26, 2010 Meeting Type: Annual
 Record Date: MAR 29, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------|---------|-----------|------------|
| 1.1 | Elect Stanley Clark | For | For | Management |
| 1.2 | Elect Andrew Lietz | For | For | Management |
| 1.3 | Elect Martin Loeffler | For | For | Management |
| 2 | Ratification of Auditor | For | For | Management |

APPLE INC.

Ticker: AAPL Security ID: 037833100
 Meeting Date: FEB 25, 2010 Meeting Type: Annual
 Record Date: DEC 28, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|------------------------|---------|-----------|------------|
| 1.1 | Elect William Campbell | For | For | Management |
| 1.2 | Elect Millard Drexler | For | For | Management |
| 1.3 | Elect Albert Gore, Jr. | For | For | Management |

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|-----|--|---------|---------|-------------|
| 1.4 | Elect Steven Jobs | For | For | Management |
| 1.5 | Elect Andrea Jung | For | For | Management |
| 1.6 | Elect Arthur Levinson | For | For | Management |
| 1.7 | Elect Jerome York | For | For | Management |
| 2 | Amendment to the 2003 Employee Stock Plan | For | For | Management |
| 3 | Amendment to the 1997 Director Stock Option Plan | For | For | Management |
| 4 | Advisory Vote on Executive Compensation | For | For | Management |
| 5 | Ratification of Auditor | For | For | Management |
| 6 | Shareholder Proposal Regarding Sustainability Report | Against | Against | Shareholder |
| 7 | Shareholder Proposal Regarding Formation of Sustainability Committee | Against | Against | Shareholder |

BAXTER INTERNATIONAL INC.

Ticker: BAX Security ID: 071813109
 Meeting Date: MAY 4, 2010 Meeting Type: Annual
 Record Date: MAR 8, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|-------------|
| 1 | Elect Blake Devitt | For | For | Management |
| 2 | Elect John Forsyth | For | For | Management |
| 3 | Elect Gail Fosler | For | For | Management |
| 4 | Elect Carole Shapazian | For | For | Management |
| 5 | Ratification of Auditor | For | For | Management |
| 6 | Shareholder Proposal Regarding Simple Majority Vote | Against | For | Shareholder |

CELANESE CORPORATION

Ticker: CE Security ID: 150870103
 Meeting Date: APR 22, 2010 Meeting Type: Annual
 Record Date: FEB 24, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|-------------------------|---------|-----------|------------|
| 1 | Elect David Weidman | For | For | Management |
| 2 | Elect Mark Rohr | For | For | Management |
| 3 | Elect Farah Walters | For | For | Management |
| 4 | Ratification of Auditor | For | For | Management |

CISCO SYSTEMS, INC.

Ticker: CSCO Security ID: 17275R102
 Meeting Date: NOV 12, 2009 Meeting Type: Annual
 Record Date: SEP 14, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|------------------------|---------|-----------|------------|
| 1 | Elect Carol Bartz | For | For | Management |
| 2 | Elect M. Michele Burns | For | For | Management |
| 3 | Elect Michael Capellas | For | For | Management |
| 4 | Elect Larry Carter | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 5 | Elect John Chambers | For | For | Management |
| 6 | Elect Brian Halla | For | For | Management |
| 7 | Elect John Hennessy | For | Against | Management |
| 8 | Elect Richard Kovacevich | For | For | Management |
| 9 | Elect Roderick McGearry | For | For | Management |
| 10 | Elect Michael Powell | For | For | Management |
| 11 | Elect Arun Sarin | For | For | Management |
| 12 | Elect Steven West | For | For | Management |
| 13 | Elect Jerry Yang | For | For | Management |
| 14 | Amendment to the 2005 Stock Incentive Plan | For | For | Management |
| 15 | Amendment to the Employee Stock Purchase Plan | For | For | Management |
| 16 | Ratification of Auditor | For | For | Management |
| 17 | Shareholder Proposal Regarding Formation of a Board Committee on Human Rights | Against | Against | Shareholder |
| 18 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |
| 19 | Shareholder Proposal Regarding Report on Internet Fragmentation | Against | Against | Shareholder |

CONSTELLATION ENERGY GROUP, INC.

Ticker: CEG Security ID: 210371100
 Meeting Date: MAY 28, 2010 Meeting Type: Annual
 Record Date: MAR 19, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Elect Yves de Balmann | For | For | Management |
| 2 | Elect Ann Berzin | For | For | Management |
| 3 | Elect James Brady | For | For | Management |
| 4 | Elect Daniel Camus | For | For | Management |
| 5 | Elect James Curtiss | For | For | Management |
| 6 | Elect Freeman Hrabowski III | For | For | Management |
| 7 | Elect Nancy Lampton | For | For | Management |
| 8 | Elect Robert Lawless | For | For | Management |
| 9 | Elect Mayo Shattuck III | For | For | Management |
| 10 | Elect John Skolds | For | For | Management |
| 11 | Elect Michael Sullivan | For | For | Management |
| 12 | Ratification of Auditor | For | For | Management |
| 13 | Amendment to the 2007 Long-Term Incentive Plan | For | Against | Management |
| 14 | Shareholder Proposal Regarding Independent Board Chairman | Against | For | Shareholder |

DEERE & COMPANY

Ticker: DE Security ID: 244199105
 Meeting Date: FEB 24, 2010 Meeting Type: Annual
 Record Date: DEC 31, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---------------------|---------|-----------|------------|
| 1 | Elect Samuel Allen | For | For | Management |
| 2 | Elect Aulana Peters | For | For | Management |
| 3 | Elect David Speer | For | For | Management |

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|----|---|---------|---------|-------------|
| 4 | Repeal of Classified Board | For | For | Management |
| 5 | Amendment to the Omnibus Equity and Incentive Plan | For | For | Management |
| 6 | Short-Term Incentive Bonus Plan | For | For | Management |
| 7 | Ratification of Auditor | For | For | Management |
| 8 | Shareholder Proposal Regarding Internal Executive Compensation Equity | Against | Against | Shareholder |
| 9 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |
| 10 | Shareholder Proposal Regarding an Independent Chairman | Against | For | Shareholder |

DIAMOND OFFSHORE DRILLING, INC.

Ticker: DO Security ID: 25271C102
 Meeting Date: MAY 24, 2010 Meeting Type: Annual
 Record Date: MAR 29, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------------|---------|-----------|------------|
| 1.1 | Elect James Tisch | For | For | Management |
| 1.2 | Elect Lawrence Dickerson | For | For | Management |
| 1.3 | Elect John Bolton | For | For | Management |
| 1.4 | Elect Charles Fabrikant | For | For | Management |
| 1.5 | Elect Paul Gaffney II | For | For | Management |
| 1.6 | Elect Edward Grebow | For | For | Management |
| 1.7 | Elect Herbert Hofmann | For | For | Management |
| 1.8 | Elect Arthur Rebell | For | For | Management |
| 1.9 | Elect Raymond Trough | For | For | Management |
| 2 | Ratification of Auditor | For | For | Management |
| 3 | Transaction of Other Business | For | Against | Management |

EMC CORPORATION

Ticker: EMC Security ID: 268648102
 Meeting Date: APR 29, 2010 Meeting Type: Annual
 Record Date: FEB 23, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Elect Michael Brown | For | For | Management |
| 2 | Elect Randolph Cowen | For | For | Management |
| 3 | Elect Michael Cronin | For | For | Management |
| 4 | Elect Gail Deegan | For | For | Management |
| 5 | Elect James DiStasio | For | For | Management |
| 6 | Elect John Egan | For | For | Management |
| 7 | Elect Edmund Kelly | For | For | Management |
| 8 | Elect Windle Priem | For | For | Management |
| 9 | Elect Paul Sagan | For | For | Management |
| 10 | Elect David Strohm | For | For | Management |
| 11 | Elect Joseph Tucci | For | For | Management |
| 12 | Ratification of Auditor | For | For | Management |
| 13 | Shareholder Proposal Regarding Right to Call a Special Meeting | Against | For | Shareholder |
| 14 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |

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ENTERGY CORPORATION

Ticker: ETR Security ID: 29364G103
 Meeting Date: MAY 7, 2010 Meeting Type: Annual
 Record Date: MAR 9, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Elect Maureen Bateman | For | For | Management |
| 2 | Elect W. Frank Blount | For | For | Management |
| 3 | Elect Gary Edwards | For | For | Management |
| 4 | Elect Alexis Herman | For | For | Management |
| 5 | Elect Donald Hintz | For | For | Management |
| 6 | Elect J. Wayne Leonard | For | For | Management |
| 7 | Elect Stuart Levenick | For | For | Management |
| 8 | Elect Stewart Myers | For | For | Management |
| 9 | Elect James Nichols | For | For | Management |
| 10 | Elect William Percy, II | For | For | Management |
| 11 | Elect W.J. Tauzin | For | For | Management |
| 12 | Elect Steven Wilkinson | For | For | Management |
| 13 | Ratification of Auditor | For | For | Management |
| 14 | Approval of the Executive Annual Incentive Plan | For | For | Management |

FIFTH THIRD BANCORP

Ticker: FITB Security ID: 316773100
 Meeting Date: APR 20, 2010 Meeting Type: Annual
 Record Date: MAR 1, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|------------|
| 1 | Adoption of Majority Vote for Election of Directors | For | For | Management |
| 2 | Elimination of Cumulative Voting | For | For | Management |

FORD MOTOR COMPANY

Ticker: F Security ID: 345370860
 Meeting Date: MAY 13, 2010 Meeting Type: Annual
 Record Date: MAR 17, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|----------------------------|---------|-----------|------------|
| 1.1 | Elect Stephen Butler | For | For | Management |
| 1.2 | Elect Kimberly Casiano | For | For | Management |
| 1.3 | Elect Anthony Earley, Jr. | For | Withhold | Management |
| 1.4 | Elect Edsel Ford II | For | Withhold | Management |
| 1.5 | Elect William Ford, Jr. | For | For | Management |
| 1.6 | Elect Richard Gephardt | For | For | Management |
| 1.7 | Elect Irvine Hockaday, Jr. | For | For | Management |
| 1.8 | Elect Richard Manoogian | For | Withhold | Management |
| 1.9 | Elect Ellen Marram | For | For | Management |
| 1.10 | Elect Alan Mulally | For | For | Management |
| 1.11 | Elect Homer Neal | For | For | Management |
| 1.12 | Elect Gerald Shaheen | For | For | Management |
| 1.13 | Elect John Thornton | For | For | Management |

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|---|---|---------|---------|-------------|
| 2 | Ratification of Auditor | For | For | Management |
| 3 | Tax Benefit Preservation Plan | For | For | Management |
| 4 | Shareholder Proposal Regarding Disclosure of Prior Government Service | Against | Against | Shareholder |
| 5 | Shareholder Proposal Regarding Recapitalization Plan | Against | For | Shareholder |
| 6 | Shareholder Proposal Regarding Political Contributions and Expenditure Report | Against | For | Shareholder |
| 7 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |
| 8 | Shareholder Proposal Regarding Carbon Dioxide Reduction | Against | Against | Shareholder |

FREEMPORT-MCMORAN COPPER & GOLD INC.

Ticker: FCX Security ID: 35671D857
 Meeting Date: JUN 9, 2010 Meeting Type: Annual
 Record Date: APR 13, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Richard Adkerson | For | For | Management |
| 1.2 | Elect Robert Allison, Jr. | For | Withhold | Management |
| 1.3 | Elect Robert Day | For | For | Management |
| 1.4 | Elect Gerald Ford | For | For | Management |
| 1.5 | Elect H. Devon Graham, Jr. | For | For | Management |
| 1.6 | Elect Charles Krulak | For | For | Management |
| 1.7 | Elect Bobby Lackey | For | For | Management |
| 1.8 | Elect Jon Madonna | For | For | Management |
| 1.9 | Elect Dustan McCoy | For | For | Management |
| 1.10 | Elect James Moffett | For | For | Management |
| 1.11 | Elect B. M. Rankin, Jr. | For | Withhold | Management |
| 1.12 | Elect Stephen Siegele | For | For | Management |
| 2 | Ratification of Auditor | For | For | Management |
| 3 | Amendment to the 2006 Stock Incentive Plan | For | Against | Management |
| 4 | Shareholder Proposal Regarding Environmental Expertise on Board | Against | Against | Shareholder |
| 5 | Shareholder Proposal Regarding Retention of Shares After Retirement | Against | Against | Shareholder |

GENERAL ELECTRIC COMPANY

Ticker: GE Security ID: 369604103
 Meeting Date: APR 28, 2010 Meeting Type: Annual
 Record Date: MAR 1, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---------------------------|---------|-----------|------------|
| 1 | Elect W. Geoffrey Beattie | For | For | Management |
| 2 | Elect James Cash, Jr. | For | For | Management |
| 3 | Elect Sir William Castell | For | For | Management |
| 4 | Elect Ann Fudge | For | For | Management |
| 5 | Elect Susan Hockfield | For | For | Management |
| 6 | Elect Jeffrey Immelt | For | For | Management |
| 7 | Elect Andrea Jung | For | For | Management |
| 8 | Elect Alan Lafley | For | For | Management |

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| | | | | |
|----|---|---------|---------|-------------|
| 9 | Elect Robert Lane | For | For | Management |
| 10 | Elect Ralph Larsen | For | For | Management |
| 11 | Elect Rochelle Lazarus | For | For | Management |
| 12 | Elect James Mulva | For | For | Management |
| 13 | Elect Sam Nunn | For | For | Management |
| 14 | Elect Roger Penske | For | Against | Management |
| 15 | Elect Robert Swieringa | For | For | Management |
| 16 | Elect Douglas Warner III | For | For | Management |
| 17 | Ratification of Auditor | For | For | Management |
| 18 | Shareholder Proposal Regarding Cumulative Voting | Against | Against | Shareholder |
| 19 | Shareholder Proposal Regarding Right to Call a Special Meeting | Against | For | Shareholder |
| 20 | Shareholder Proposal Regarding Independent Board Chairman | Against | For | Shareholder |
| 21 | Shareholder Proposal Regarding Report on Ratio Between Executive and Employee Pay | Against | Against | Shareholder |
| 22 | Shareholder Proposal Regarding Requiring Key Committee Directors To Receive Less Than 20% Against Votes | Against | Against | Shareholder |
| 23 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |

GILEAD SCIENCES, INC.

Ticker: GILD Security ID: 375558103
 Meeting Date: MAY 11, 2010 Meeting Type: Annual
 Record Date: MAR 15, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Paul Berg | For | For | Management |
| 1.2 | Elect John Cogan | For | For | Management |
| 1.3 | Elect Etienne Davignon | For | For | Management |
| 1.4 | Elect James Denny | For | For | Management |
| 1.5 | Elect Carla Hills | For | For | Management |
| 1.6 | Elect Kevin Lofton | For | For | Management |
| 1.7 | Elect John Madigan | For | For | Management |
| 1.8 | Elect John Martin | For | For | Management |
| 1.9 | Elect Gordon Moore | For | For | Management |
| 1.10 | Elect Nicholas Moore | For | For | Management |
| 1.11 | Elect Richard Whitley | For | For | Management |
| 1.12 | Elect Gayle Wilson | For | For | Management |
| 1.13 | Elect Per Wold-Olsen | For | For | Management |
| 2 | Ratification of Auditor | For | For | Management |
| 3 | Shareholder Proposal Regarding Simple Majority Vote | Against | For | Shareholder |

GOOGLE INC.

Ticker: GOOG Security ID: 38259P508
 Meeting Date: MAY 13, 2010 Meeting Type: Annual
 Record Date: MAR 15, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------|---------|-----------|------------|
| 1.1 | Elect Eric Schmidt | For | For | Management |

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| | | | | |
|-----|---|---------|----------|-------------|
| 1.2 | Elect Sergey Brin | For | For | Management |
| 1.3 | Elect Larry Page | For | For | Management |
| 1.4 | Elect L. John Doerr | For | For | Management |
| 1.5 | Elect John Hennessy | For | Withhold | Management |
| 1.6 | Elect Ann Mather | For | For | Management |
| 1.7 | Elect Paul Otellini | For | For | Management |
| 1.8 | Elect K. Shriram | For | For | Management |
| 1.9 | Elect Shirley Tilghman | For | For | Management |
| 2 | Ratification of Auditor | For | For | Management |
| 3 | Amendment to the 2004 Stock Plan | For | Against | Management |
| 4 | Shareholder Proposal Regarding Sustainability Report | Against | Against | Shareholder |
| 5 | Shareholder Proposal Regarding Behavioral Advertising | Against | Against | Shareholder |
| 6 | Shareholder Proposal Regarding Human Rights in China | Against | Against | Shareholder |

HARRIS CORPORATION

Ticker: HRS Security ID: 413875105
 Meeting Date: OCT 23, 2009 Meeting Type: Annual
 Record Date: AUG 28, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|-------------|
| 1 | Elect Terry Growcock | For | For | Management |
| 2 | Elect Leslie Kenne | For | For | Management |
| 3 | Elect David Rickard | For | For | Management |
| 4 | Elect Gregory Swienton | For | For | Management |
| 5 | Ratification of Auditor | For | For | Management |
| 6 | Shareholder Proposal Regarding Independent Board Chairman | Against | For | Shareholder |

HARRIS STRATEX NETWORKS, INC.

Ticker: HSTX Security ID: 41457P106
 Meeting Date: NOV 19, 2009 Meeting Type: Annual
 Record Date: SEP 22, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Charles Kissner | For | Withhold | Management |
| 1.2 | Elect Harald Braun | For | Withhold | Management |
| 1.3 | Elect Eric Evans | For | Withhold | Management |
| 1.4 | Elect William Hasler | For | Withhold | Management |
| 1.5 | Elect Clifford Higginson | For | Withhold | Management |
| 1.6 | Elect Mohsen Sohi | For | Withhold | Management |
| 1.7 | Elect James Stoffel | For | Withhold | Management |
| 1.8 | Elect Edward Thompson | For | Withhold | Management |
| 2 | Ratification of Auditor | For | For | Management |
| 3 | 2010 Employee Stock Purchase Plan | For | For | Management |
| 4 | Amendment to the 2007 Stock Equity Plan | For | For | Management |
| 5 | Amendment to the Certificate of Incorporation | For | For | Management |

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INTEL CORPORATION

Ticker: INTC Security ID: 458140100
 Meeting Date: MAY 19, 2010 Meeting Type: Annual
 Record Date: MAR 22, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Elect Charlene Barshefsky | For | Against | Management |
| 2 | Elect Susan Decker | For | For | Management |
| 3 | Elect John Donahoe | For | For | Management |
| 4 | Elect Reed Hundt | For | For | Management |
| 5 | Elect Paul Otellini | For | For | Management |
| 6 | Elect James Plummer | For | For | Management |
| 7 | Elect David Pottruck | For | For | Management |
| 8 | Elect Jane Shaw | For | For | Management |
| 9 | Elect Frank Yeary | For | For | Management |
| 10 | Elect David Yoffie | For | Against | Management |
| 11 | Ratification of Auditor | For | For | Management |
| 12 | ADVISORY VOTE ON EXECUTIVE COMPENSATION | For | For | Management |

INTERNATIONAL BUSINESS MACHINES CORPORATION

Ticker: IBM Security ID: 459200101
 Meeting Date: APR 27, 2010 Meeting Type: Annual
 Record Date: FEB 26, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Elect Alain Belda | For | Against | Management |
| 2 | Elect Cathleen Black | For | Against | Management |
| 3 | Elect William Brody | For | For | Management |
| 4 | Elect Kenneth Chenault | For | For | Management |
| 5 | Elect Michael Eskew | For | Against | Management |
| 6 | Elect Shirley Jackson | For | For | Management |
| 7 | Elect Andrew Liveris | For | Against | Management |
| 8 | Elect W. James McNerney, Jr. | For | For | Management |
| 9 | Elect Taizo Nishimuro | For | For | Management |
| 10 | Elect James Owens | For | For | Management |
| 11 | Elect Samuel Palmisano | For | For | Management |
| 12 | Elect Joan Spero | For | For | Management |
| 13 | Elect Sidney Taurel | For | For | Management |
| 14 | Elect Lorenzo Zambrano | For | Against | Management |
| 15 | Ratification of Auditor | For | For | Management |
| 16 | Shareholder Proposal Regarding Restricting Executive Compensation | Against | Against | Shareholder |
| 17 | Shareholder Proposal Regarding Cumulative Voting | Against | Against | Shareholder |
| 18 | Shareholder Proposal Regarding Right to Call a Special Meeting | Against | For | Shareholder |
| 19 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |

INTUITIVE SURGICAL, INC.

Ticker: ISRG Security ID: 46120E602
 Meeting Date: APR 21, 2010 Meeting Type: Annual
 Record Date: FEB 22, 2010

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| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---------------------------|---------|-----------|------------|
| 1.1 | Elect Alan Levy | For | Withhold | Management |
| 1.2 | Elect Eric Halvorson | For | For | Management |
| 1.3 | Elect Amal Johnson | For | For | Management |
| 2 | 2010 Incentive Award Plan | For | Against | Management |

JOHNSON & JOHNSON

Ticker: JNJ Security ID: 478160104
 Meeting Date: APR 22, 2010 Meeting Type: Annual
 Record Date: FEB 23, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Elect Mary Coleman | For | Against | Management |
| 2 | Elect James Cullen | For | For | Management |
| 3 | Elect Michael Johns | For | Against | Management |
| 4 | Elect Susan Lindquist | For | For | Management |
| 5 | Elect Anne Mulcahy | For | Against | Management |
| 6 | Elect Leo Mullin | For | For | Management |
| 7 | Elect William Perez | For | Against | Management |
| 8 | Elect Charles Prince | For | Against | Management |
| 9 | Elect David Satcher | For | For | Management |
| 10 | Elect William Weldon | For | For | Management |
| 11 | Ratification of Auditor | For | For | Management |
| 12 | Shareholder Proposal Regarding Advisory Vote on Executive Compensation (Say on Pay) | Against | For | Shareholder |
| 13 | Shareholder Proposal Regarding Right to Call a Special Meeting | Against | Against | Shareholder |

JOHNSON CONTROLS, INC.

Ticker: JCI Security ID: 478366107
 Meeting Date: JAN 27, 2010 Meeting Type: Annual
 Record Date: NOV 19, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|-------------|
| 1.1 | Elect David Abney | For | For | Management |
| 1.2 | Elect Robert Barnett | For | Withhold | Management |
| 1.3 | Elect Eugenio Clariond Reyes-Retana | For | For | Management |
| 1.4 | Elect Jeffrey Joerres | For | For | Management |
| 2 | Ratification of Auditor | For | For | Management |
| 3 | Shareholder Proposal Regarding Majority Vote for Election of Directors | Against | For | Shareholder |

JOY GLOBAL INC.

Ticker: JOYG Security ID: 481165108
 Meeting Date: MAR 9, 2010 Meeting Type: Annual
 Record Date: JAN 8, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|----------|---------|-----------|---------|
|---|----------|---------|-----------|---------|

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|-----|-------------------------|-----|-----|------------|
| 1.1 | Elect Steven Gerard | For | For | Management |
| 1.2 | Elect John Hanson | For | For | Management |
| 1.3 | Elect Kenneth Johnsen | For | For | Management |
| 1.4 | Elect Gale Klappa | For | For | Management |
| 1.5 | Elect Richard Loynd | For | For | Management |
| 1.6 | Elect P. Eric Siegert | For | For | Management |
| 1.7 | Elect Michael Sutherlin | For | For | Management |
| 1.8 | Elect James Tate | For | For | Management |
| 2 | Ratification of Auditor | For | For | Management |

JPMORGAN CHASE & CO.

Ticker: JPM Security ID: 46625H100
 Meeting Date: MAY 18, 2010 Meeting Type: Annual
 Record Date: MAR 19, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Elect Crandall Bowles | For | For | Management |
| 2 | Elect Stephen Burke | For | For | Management |
| 3 | Elect David Cote | For | For | Management |
| 4 | Elect James Crown | For | For | Management |
| 5 | Elect James Dimon | For | For | Management |
| 6 | Elect Ellen Futter | For | Against | Management |
| 7 | Elect William Gray, III | For | For | Management |
| 8 | Elect Laban Jackson, Jr. | For | For | Management |
| 9 | Elect David Novak | For | Against | Management |
| 10 | Elect Lee Raymond | For | For | Management |
| 11 | Elect William Weldon | For | For | Management |
| 12 | Ratification of Auditor | For | For | Management |
| 13 | ADVISORY VOTE ON EXECUTIVE COMPENSATION | For | For | Management |
| 14 | Shareholder Proposal Regarding Affirmation of Political Nonpartisanship | Against | Against | Shareholder |
| 15 | Shareholder Proposal Regarding Right to Call a Special Meeting | Against | For | Shareholder |
| 16 | Shareholder Proposal Regarding Report OTC Derivative Trading | Against | Against | Shareholder |
| 17 | Shareholder Proposal Regarding Right to Act by Written Consent | Against | For | Shareholder |
| 18 | Shareholder Proposal Regarding Independent Board Chairman | Against | For | Shareholder |
| 19 | Shareholder Proposal Regarding Report of Ratio Between CEO and Employee Pay | Against | Against | Shareholder |
| 20 | Shareholder Proposal Regarding Retention of Shares After Retirement | Against | Against | Shareholder |

L-3 COMMUNICATIONS HOLDINGS, INC.

Ticker: LLL Security ID: 502424104
 Meeting Date: APR 27, 2010 Meeting Type: Annual
 Record Date: MAR 1, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|------------------------|---------|-----------|------------|
| 1.1 | Elect Claude Canizares | For | For | Management |
| 1.2 | Elect Thomas Corcoran | For | For | Management |
| 1.3 | Elect Lewis Kramer | For | For | Management |

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|-----|--|-----|-----|------------|
| 1.4 | Elect Alan Washkowitz | For | For | Management |
| 2 | Amendment to the 2008 Long Term Performance Plan | For | For | Management |
| 3 | Ratification of Auditor | For | For | Management |

MCDONALD'S CORPORATION

Ticker: MCD Security ID: 580135101
 Meeting Date: MAY 20, 2010 Meeting Type: Annual
 Record Date: MAR 23, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|-------------|
| 1 | Elect Walter Massey | For | For | Management |
| 2 | Elect John Rogers, Jr. | For | For | Management |
| 3 | Elect Roger Stone | For | For | Management |
| 4 | Elect Miles White | For | For | Management |
| 5 | Ratification of Auditor | For | For | Management |
| 6 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |
| 7 | Shareholder Proposal Regarding Simple Majority Vote | Against | For | Shareholder |
| 8 | Shareholder Proposal Regarding Controlled Atmosphere Killing | Against | Against | Shareholder |
| 9 | Shareholder Proposal Regarding Cage-Free Eggs | Against | Against | Shareholder |

MCKESSON CORPORATION

Ticker: MCK Security ID: 58155Q103
 Meeting Date: JUL 22, 2009 Meeting Type: Annual
 Record Date: MAY 29, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Elect Andy Bryant | For | For | Management |
| 2 | Elect Wayne Budd | For | For | Management |
| 3 | Elect John Hammergren | For | For | Management |
| 4 | Elect Alton Irby, III | For | Against | Management |
| 5 | Elect M. Christine Jacobs | For | Against | Management |
| 6 | Elect Marie Knowles | For | For | Management |
| 7 | Elect David Lawrence | For | Against | Management |
| 8 | Elect Edward Mueller | For | For | Management |
| 9 | Elect Jane Shaw | For | For | Management |
| 10 | Amendment to the 2005 Stock Plan | For | Against | Management |
| 11 | Ratification of Auditor | For | For | Management |
| 12 | Shareholder Proposal Regarding Retention of Shares After Retirement | Against | Against | Shareholder |
| 13 | Shareholder Proposal Regarding Survivor Benefits (Golden Coffins) | Against | For | Shareholder |

MEDCO HEALTH SOLUTIONS, INC.

Ticker: MHS Security ID: 58405U102
 Meeting Date: MAY 12, 2010 Meeting Type: Annual

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Record Date: MAR 15, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|------------|
| 1 | Elect Howard Barker, Jr. | For | For | Management |
| 2 | Elect John Cassis | For | For | Management |
| 3 | Elect Michael Goldstein | For | Against | Management |
| 4 | Elect Charles Lillis | For | Against | Management |
| 5 | Elect Myrtle Potter | For | For | Management |
| 6 | Elect William Roper | For | For | Management |
| 7 | Elect David Snow, Jr. | For | For | Management |
| 8 | Elect David Stevens | For | Against | Management |
| 9 | Elect Blenda Wilson | For | For | Management |
| 10 | Ratification of Auditor | For | For | Management |
| 11 | Amendment to Certificate of Incorporation Regarding the Right to Call Special Meetings | For | For | Management |

METLIFE, INC.

Ticker: MET Security ID: 59156R108
 Meeting Date: APR 27, 2010 Meeting Type: Annual
 Record Date: MAR 1, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|-------------|
| 1.1 | Elect R. Glenn Hubbard | For | For | Management |
| 1.2 | Elect Alfred Kelly, Jr. | For | For | Management |
| 1.3 | Elect James Kilts | For | For | Management |
| 1.4 | Elect David Satcher | For | For | Management |
| 2 | Ratification of Auditor | For | For | Management |
| 3 | Shareholder Proposal Regarding Cumulative Voting | Against | Against | Shareholder |

MICROSOFT CORPORATION

Ticker: MSFT Security ID: 594918104
 Meeting Date: NOV 19, 2009 Meeting Type: Annual
 Record Date: SEP 4, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|--|---------|-----------|-------------|
| 1 | Elect William Gates, III | For | For | Management |
| 2 | Elect Steven Ballmer | For | For | Management |
| 3 | Elect Dina Dublon | For | For | Management |
| 4 | Elect Raymond Gilmartin | For | For | Management |
| 5 | Elect Reed Hastings | For | For | Management |
| 6 | Elect Maria Klawe | For | For | Management |
| 7 | Elect David Marquardt | For | For | Management |
| 8 | Elect Charles Noski | For | For | Management |
| 9 | Elect Helmut Panke | For | For | Management |
| 10 | Ratification of Auditor | For | For | Management |
| 11 | Restoration of Right to Call a Special Meeting | For | For | Management |
| 12 | ADVISORY VOTE ON EXECUTIVE COMPENSATION | For | For | Management |
| 13 | Shareholder Proposal Regarding Adoption of Principles for Health Care Reform | Against | Against | Shareholder |
| 14 | Shareholder Proposal Regarding Charitable Contributions | Against | Against | Shareholder |

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MOLSON COORS BREWING COMPANY

Ticker: TAP Security ID: 60871R209
 Meeting Date: JUN 2, 2010 Meeting Type: Annual
 Record Date: APR 5, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|-------------------------|---------|-----------|------------|
| 1.1 | Elect John Cleghorn | For | For | Management |
| 1.2 | Elect Charles Herington | For | For | Management |
| 1.3 | Elect David O'Brien | For | Withhold | Management |

NATIONAL OILWELL VARCO, INC.

Ticker: NOV Security ID: 637071101
 Meeting Date: MAY 12, 2010 Meeting Type: Annual
 Record Date: MAR 23, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|-------------------------|---------|-----------|------------|
| 1 | Elect Ben Guill | For | For | Management |
| 2 | Elect Roger Jarvis | For | For | Management |
| 3 | Elect Eric Mattson | For | For | Management |
| 4 | Ratification of Auditor | For | For | Management |

NRG ENERGY, INC.

Ticker: NRG Security ID: 629377508
 Meeting Date: JUL 21, 2009 Meeting Type: Proxy Contest
 Record Date: JUN 15, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Betsy Atkins | N/A | TNA | Management |
| 1.2 | Elect Ralph Faison | N/A | TNA | Management |
| 1.3 | Elect Coleman Peterson | N/A | TNA | Management |
| 1.4 | Elect Thomas Wajnert | N/A | TNA | Management |
| 2 | Change in Board Size | N/A | TNA | Management |
| 3 | Elect Donald DeFosset, Jr. | N/A | TNA | Management |
| 4 | Elect Richard Koppes | N/A | TNA | Management |
| 5 | Elect John Albertine | N/A | TNA | Management |
| 6 | Elect Majorie Bowen | N/A | TNA | Management |
| 7 | Elect Ralph Wellington | N/A | TNA | Management |
| 8 | Amendment to Restore the Bylaws | N/A | TNA | Management |
| 9 | Amendment to the Long-Term Incentive Plan | N/A | TNA | Management |
| 10 | Amendment to the Annual Incentive Plan for Designated Corporate Officers | N/A | TNA | Management |
| 11 | Adoption of Majority Vote for Election of Directors | N/A | TNA | Management |
| 12 | Ratification of Auditor | N/A | TNA | Management |
| 13 | Shareholder Proposal Regarding Carbon Principles Report | N/A | TNA | Management |
| 1.1 | Elect John Chlebowski | For | For | Management |
| 1.2 | Elect Howard Cosgrove | For | For | Management |

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| | | | | |
|-----|--|---------|---------|-------------|
| 1.3 | Elect William Hantke | For | For | Management |
| 1.4 | Elect Anne Schaumburg | For | For | Management |
| 2 | Amendment to the Long-Term Incentive Plan | For | For | Management |
| 3 | Amendment to the Annual Incentive Plan for Designated Corporate Officers | For | For | Management |
| 4 | Adoption of Majority Vote for Election of Directors | For | For | Management |
| 5 | Ratification of Auditor | For | For | Management |
| 6 | Shareholder Proposal Regarding Carbon Principles Report | Against | Against | Shareholder |
| 7 | Shareholder Proposal Regarding Board Size | Against | Against | Shareholder |
| 8 | Shareholder Proposal Regarding Repeal of Bylaw Amendments | Against | Against | Shareholder |

OCCIDENTAL PETROLEUM CORPORATION

Ticker: OXY Security ID: 674599105

Meeting Date: MAY 7, 2010 Meeting Type: Annual

Record Date: MAR 15, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Elect Spencer Abraham | For | For | Management |
| 2 | Elect John Chalsty | For | For | Management |
| 3 | Elect Stephen Chazen | For | Against | Management |
| 4 | Elect Edward Djerejian | For | For | Management |
| 5 | Elect John Feick | For | For | Management |
| 6 | Elect Carlos Gutierrez | For | For | Management |
| 7 | Elect Ray Irani | For | For | Management |
| 8 | Elect Irvin Maloney | For | For | Management |
| 9 | Elect Avedick Poladian | For | For | Management |
| 10 | Elect Rodolfo Segovia | For | For | Management |
| 11 | Elect Aziz Syriani | For | For | Management |
| 12 | Elect Rosemary Tomich | For | For | Management |
| 13 | Elect Walter Weisman | For | For | Management |
| 14 | Ratification of Auditor | For | For | Management |
| 15 | Re-approval of Performance Goals under the 2005 Long-Term Incentive Plan | For | For | Management |
| 16 | Advisory Vote on Executive Compensation | For | Against | Management |
| 17 | Shareholder Proposal Regarding Restricting Executive Compensation | Against | Against | Shareholder |
| 18 | Shareholder Proposal Regarding Independent Board Chairman | Against | For | Shareholder |
| 19 | Shareholder Proposal Regarding Right to Call a Special Meeting | Against | For | Shareholder |
| 20 | Shareholder Proposal Regarding Report on Host Country Regulations | Against | Against | Shareholder |
| 21 | Shareholder Proposal Regarding Majority Vote for Election of Directors | Against | For | Shareholder |
| 22 | Shareholder Proposal Regarding Security of Chemical Facilities | Against | Against | Shareholder |
| 23 | Shareholder Proposal Regarding Compensation in the Event of a Change of Control | Against | For | Shareholder |

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ORACLE CORPORATION

Ticker: ORCL Security ID: 68389X105
 Meeting Date: OCT 7, 2009 Meeting Type: Annual
 Record Date: AUG 10, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|---|---------|-----------|-------------|
| 1.1 | Elect Jeffrey Berg | For | Withhold | Management |
| 1.2 | Elect H. Raymond Bingham | For | Withhold | Management |
| 1.3 | Elect Michael Boskin | For | For | Management |
| 1.4 | Elect Safra Catz | For | For | Management |
| 1.5 | Elect Bruce Chizen | For | For | Management |
| 1.6 | Elect George Conrades | For | For | Management |
| 1.7 | Elect Lawrence Ellison | For | For | Management |
| 1.8 | Elect Hector Garcia-Molina | For | For | Management |
| 1.9 | Elect Jeffrey Henley | For | For | Management |
| 1.10 | Elect Donald Lucas | For | Withhold | Management |
| 1.11 | Elect Charles Phillips, Jr. | For | For | Management |
| 1.12 | Elect Naomi Seligman | For | For | Management |
| 2 | 2010 Executive Bonus Plan | For | For | Management |
| 3 | Ratification of Auditor | For | For | Management |
| 4 | Shareholder Proposal Regarding Right to Call a Special Meeting | Against | Against | Shareholder |
| 5 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |
| 6 | Shareholder Proposal Regarding Retention of Shares After Retirement | Against | Against | Shareholder |

PEABODY ENERGY CORPORATION

Ticker: BTU Security ID: 704549104
 Meeting Date: MAY 4, 2010 Meeting Type: Annual
 Record Date: MAR 12, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|-------------------------|---------|-----------|------------|
| 1.1 | Elect Gregory Boyce | For | For | Management |
| 1.2 | Elect William Coley | For | For | Management |
| 1.3 | Elect William James | For | For | Management |
| 1.4 | Elect Robert Karn III | For | For | Management |
| 1.5 | Elect M. Frances Keeth | For | For | Management |
| 1.6 | Elect Henry Lentz | For | For | Management |
| 1.7 | Elect Robert Malone | For | For | Management |
| 1.8 | Elect William Rusnack | For | For | Management |
| 1.9 | Elect John Turner | For | For | Management |
| 1.10 | Elect Alan Washkowitz | For | Withhold | Management |
| 2 | Ratification of Auditor | For | For | Management |

PEPSICO, INC.

Ticker: PEP Security ID: 713448108
 Meeting Date: MAY 5, 2010 Meeting Type: Annual
 Record Date: MAR 5, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|-------------------|---------|-----------|------------|
| 1 | Elect Shona Brown | For | For | Management |
| 2 | Elect Ian Cook | For | For | Management |

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| | | | | |
|----|--|---------|---------|-------------|
| 3 | Elect Dina Dublon | For | For | Management |
| 4 | Elect Victor Dzau | For | For | Management |
| 5 | Elect Ray Hunt | For | For | Management |
| 6 | Elect Alberto Ibarguen | For | For | Management |
| 7 | Elect Arthur Martinez | For | For | Management |
| 8 | Elect Indra Nooyi | For | For | Management |
| 9 | Elect Sharon Rockefeller | For | For | Management |
| 10 | Elect James Schiro | For | For | Management |
| 11 | Elect Lloyd Trotter | For | For | Management |
| 12 | Elect Daniel Vasella | For | Against | Management |
| 13 | Ratification of Auditor | For | For | Management |
| 14 | Amendment to the 2007 Long-Term Incentive Plan | For | For | Management |
| 15 | Shareholder Proposal Regarding Reviewing Charitable Spending | Against | Against | Shareholder |
| 16 | Shareholder Proposal Regarding Right to Call a Special Meeting | Against | For | Shareholder |
| 17 | Shareholder Proposal Regarding Report on Public Policy Issues | Against | Against | Shareholder |

PRUDENTIAL FINANCIAL, INC.

Ticker: PRU Security ID: 744320102
 Meeting Date: MAY 11, 2010 Meeting Type: Annual
 Record Date: MAR 12, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Election of Directors | For | For | Management |
| 2 | Elect Gordon Bethune | For | For | Management |
| 3 | Elect Gaston Caperton | For | For | Management |
| 4 | Elect Gilbert Casellas | For | For | Management |
| 5 | Elect James Cullen | For | For | Management |
| 6 | Elect William Gray III | For | For | Management |
| 7 | Elect Mark Grier | For | For | Management |
| 8 | Elect Jon Hanson | For | For | Management |
| 9 | Elect Constance Horner | For | For | Management |
| 10 | Elect Karl Krapek | For | For | Management |
| 11 | Elect Christine Poon | For | For | Management |
| 12 | Elect John Strangfeld | For | For | Management |
| 13 | Elect James Unruh | For | For | Management |
| 14 | Ratification of Auditor | For | For | Management |
| 15 | Advisory Vote on Executive Compensation | For | Against | Management |

QUALCOMM INCORPORATED

Ticker: QCOM Security ID: 747525103
 Meeting Date: MAR 2, 2010 Meeting Type: Annual
 Record Date: JAN 4, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--------------------------|---------|-----------|------------|
| 1.1 | Elect Barbara Alexander | For | For | Management |
| 1.2 | Elect Stephen Bennett | For | For | Management |
| 1.3 | Elect Donald Cruickshank | For | For | Management |
| 1.4 | Elect Raymond Dittamore | For | For | Management |
| 1.5 | Elect Thomas Horton | For | For | Management |
| 1.6 | Elect Irwin Jacobs | For | For | Management |

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| | | | | |
|------|---|-----|-----|------------|
| 1.7 | Elect Paul Jacobs | For | For | Management |
| 1.8 | Elect Robert Kahn | For | For | Management |
| 1.9 | Elect Sherry Lansing | For | For | Management |
| 1.10 | Elect Duane Nelles | For | For | Management |
| 1.11 | Elect Brent Scowcroft | For | For | Management |
| 1.12 | Elect Marc Stern | For | For | Management |
| 2 | Amendment to the 2006 Long-Term Incentive Plan | For | For | Management |
| 3 | Ratification of Auditor | For | For | Management |

RESEARCH IN MOTION LTD.

Ticker: RIM Security ID: 760975102
 Meeting Date: JUL 14, 2009 Meeting Type: Annual
 Record Date: MAY 26, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|---|---------|-----------|------------|
| 1.1 | Elect Mike Lazaridis | For | Withhold | Management |
| 1.2 | Elect James Estill | For | Withhold | Management |
| 1.3 | Elect David Kerr | For | For | Management |
| 1.4 | Elect Roger Martin | For | For | Management |
| 1.5 | Elect John Richardson | For | Withhold | Management |
| 1.6 | Elect Barbara Stymiest | For | For | Management |
| 1.7 | Elect John Wetmore | For | For | Management |
| 2 | Appointment of Auditor and Authority to Set Fees | For | For | Management |

SCHLUMBERGER N.V. (SCHLUMBERGER LIMITED)

Ticker: SLB Security ID: 806857108
 Meeting Date: APR 7, 2010 Meeting Type: Annual
 Record Date: FEB 17, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|------|--|---------|-----------|------------|
| 1.1 | Elect Philippe Camus | For | For | Management |
| 1.2 | Elect Jamie Gorelick | For | For | Management |
| 1.3 | Elect Andrew Gould | For | For | Management |
| 1.4 | Elect Tony Isaac | For | For | Management |
| 1.5 | Elect Nikolay Kudryavtsev | For | For | Management |
| 1.6 | Elect Adrian Lajous | For | For | Management |
| 1.7 | Elect Michael Marks | For | For | Management |
| 1.8 | Elect Leo Reif | For | For | Management |
| 1.9 | Elect Tore Sandvold | For | For | Management |
| 1.10 | Elect Henri Seydoux | For | For | Management |
| 1.11 | Elect Peter Currie | For | For | Management |
| 1.12 | Elect K.V. Kamath | For | For | Management |
| 2 | Approval of Financial Statements and Dividends | For | For | Management |
| 3 | 2010 Stock Incentive Plan | For | For | Management |
| 4 | Amendment to the Discounted Stock Purchase Plan | For | For | Management |
| 5 | Appointment of Auditor | For | For | Management |

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TARGET CORPORATION

Ticker: TGT Security ID: 87612E106
 Meeting Date: JUN 9, 2010 Meeting Type: Annual
 Record Date: APR 12, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|---|---------|-----------|-------------|
| 1 | Elect Calvin Darden | For | For | Management |
| 2 | Elect Anne Mulcahy | For | Against | Management |
| 3 | Elect Stephen Sanger | For | For | Management |
| 4 | Elect Gregg Steinhafel | For | For | Management |
| 5 | Ratification of Auditor | For | Against | Management |
| 6 | Repeal of Classified Board | For | For | Management |
| 7 | Elimination of Supermajority Requirement | For | For | Management |
| 8 | Amendment and Restatement of the Articles of Incorporation | For | For | Management |
| 9 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |

TEXAS INSTRUMENTS INCORPORATED

Ticker: TXN Security ID: 882508104
 Meeting Date: APR 15, 2010 Meeting Type: Annual
 Record Date: FEB 16, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|-------------------------|---------|-----------|------------|
| 1 | Elect Ralph Babb, Jr. | For | For | Management |
| 2 | Elect David Boren | For | For | Management |
| 3 | Elect Daniel Carp | For | For | Management |
| 4 | Elect Carrie Cox | For | For | Management |
| 5 | Elect David Goode | For | For | Management |
| 6 | Elect Stephen MacMillan | For | For | Management |
| 7 | Elect Pamela Patsley | For | For | Management |
| 8 | Elect Wayne Sanders | For | For | Management |
| 9 | Elect Ruth Simmons | For | Against | Management |
| 10 | Elect Richard Templeton | For | For | Management |
| 11 | Elect Christine Whitman | For | For | Management |
| 12 | Ratification of Auditor | For | For | Management |

TEXTRON INC.

Ticker: TXT Security ID: 883203101
 Meeting Date: APR 28, 2010 Meeting Type: Annual
 Record Date: MAR 5, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|---|--|---------|-----------|------------|
| 1 | Elect Kathleen Bader | For | For | Management |
| 2 | Elect R. Kerry Clark | For | For | Management |
| 3 | Elect Ivor Evans | For | For | Management |
| 4 | Elect Charles Powell | For | Against | Management |
| 5 | Elect James Ziemer | For | For | Management |
| 6 | One-time Stock Option Exchange Program | For | For | Management |
| 7 | Repeal of Classified Board | For | For | Management |
| 8 | Ratification of Auditor | For | For | Management |

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THE COCA-COLA COMPANY

Ticker: KO Security ID: 191216100
 Meeting Date: APR 21, 2010 Meeting Type: Annual
 Record Date: FEB 22, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Elect Herbert Allen | For | Against | Management |
| 2 | Elect Ronald Allen | For | For | Management |
| 3 | Elect Cathleen Black | For | For | Management |
| 4 | Elect Barry Diller | For | Against | Management |
| 5 | Elect Alexis Herman | For | For | Management |
| 6 | Elect Muhtar Kent | For | For | Management |
| 7 | Elect Donald Keough | For | For | Management |
| 8 | Elect Maria Lagomasino | For | For | Management |
| 9 | Elect Donald McHenry | For | For | Management |
| 10 | Elect Sam Nunn | For | For | Management |
| 11 | Elect James Robinson III | For | For | Management |
| 12 | Elect Peter Ueberroth | For | For | Management |
| 13 | Elect Jacob Wallenberg | For | For | Management |
| 14 | Elect James Williams | For | Against | Management |
| 15 | Ratification of Auditor | For | For | Management |
| 16 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |
| 17 | Shareholder Proposal Regarding Independent Board Chairman | Against | For | Shareholder |
| 18 | Shareholder Proposal Regarding Performance Based Restricted Equity Compensation | Against | For | Shareholder |
| 19 | Shareholder Proposal Regarding Report on Bisphenol-A | Against | Against | Shareholder |

THE PROCTER & GAMBLE COMPANY

Ticker: PG Security ID: 742718109
 Meeting Date: OCT 13, 2009 Meeting Type: Annual
 Record Date: AUG 14, 2009

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|------------|
| 1 | Elect Kenneth Chenault | For | For | Management |
| 2 | Elect Scott Cook | For | Against | Management |
| 3 | Elect Rajat Gupta | For | For | Management |
| 4 | Elect Alan Lafley | For | For | Management |
| 5 | Elect Charles Lee | For | For | Management |
| 6 | Elect Lynn Martin | For | For | Management |
| 7 | Elect Robert McDonald | For | For | Management |
| 8 | Elect W. James McNerney, Jr. | For | For | Management |
| 9 | Elect Johnathan Rodgers | For | For | Management |
| 10 | Elect Ralph Snyderman | For | For | Management |
| 11 | Elect Mary Agnes Wilderotter | For | For | Management |
| 12 | Elect Patricia Woertz | For | For | Management |
| 13 | Elect Ernesto Zedillo | For | For | Management |
| 14 | Ratification of Auditor | For | For | Management |
| 15 | Amendments to Code of Regulations | For | For | Management |
| 16 | 2009 Stock and Incentive Compensation Plan | For | For | Management |

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| | | | | |
|----|---|---------|-----|-------------|
| 17 | Shareholder Proposal Regarding Cumulative Voting | Against | For | Shareholder |
| 18 | Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay) | Against | For | Shareholder |

VERIZON COMMUNICATIONS INC.

Ticker: VZ Security ID: 92343V104
 Meeting Date: MAY 6, 2010 Meeting Type: Annual
 Record Date: MAR 8, 2010

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|---------|-----------|-------------|
| 1 | Elect Richard Carrion | For | For | Management |
| 2 | Elect M. Frances Keeth | For | For | Management |
| 3 | Elect Robert Lane | For | For | Management |
| 4 | Elect Sandra Moose | For | For | Management |
| 5 | Elect Joseph Neubauer | For | Against | Management |
| 6 | Elect Donald Nicolaisen | For | For | Management |
| 7 | Elect Thomas O'Brien | For | For | Management |
| 8 | Elect Clarence Otis, Jr. | For | For | Management |
| 9 | Elect Hugh Price | For | For | Management |
| 10 | Elect Ivan Seidenberg | For | For | Management |
| 11 | Elect Rodney Slater | For | For | Management |
| 12 | Elect John Snow | For | For | Management |
| 13 | Elect John Stafford | For | For | Management |
| 14 | Ratification of Auditor | For | For | Management |
| 15 | Advisory Vote on Executive Compensation | For | Against | Management |
| 16 | Shareholder Proposal Regarding Stock Option Policy | Against | Against | Shareholder |
| 17 | Shareholder Proposal Regarding Adopting Sexual Orientation and Gender Identity Anti-Bias Policy | Against | Against | Shareholder |
| 18 | Shareholder Proposal Regarding Performance-Based Equity Compensation | Against | Against | Shareholder |
| 19 | Shareholder Proposal Regarding Right to Call a Special Meeting | Against | For | Shareholder |
| 20 | Shareholder Proposal Regarding CEO Succession Planning | Against | Against | Shareholder |
| 21 | Shareholder Proposal Regarding the Approval of Survivor Benefits (Golden Coffins) | Against | For | Shareholder |
| 22 | Shareholder Proposal Regarding Retention of Shares after Retirement | Against | Against | Shareholder |

===== END NPX REPORT

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant): Nicholas-Applegate Equity & Convertible Income Fund

By (Signature and Title)*: /s/ Brian Shlissel

 Name: Brian Shlissel

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Title: President and Chief Executive Officer

Date: August 31, 2010

- * Print the name and title of each signing officer under his or her signature.