

Edgar Filing: NEUBERGER BERMAN INC - Form 8-K

NEUBERGER BERMAN INC  
Form 8-K  
April 05, 2001

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT  
Pursuant to Section 13 or 15 (d) of the  
Securities Exchange Act of 1934

DATE OF REPORT (Date of earliest event reported): March 26, 2001

NEUBERGER BERMAN INC.  
(Exact name of registrant as specified in its charter)

COMMISSION FILE NUMBER 1-15361

DELAWARE  
(State or other jurisdiction of  
incorporation or organization)

06-1523639  
(I.R.S. Employer  
Identification No.)

605 Third Avenue  
New York, NY 10158  
(Address of principal executive offices)

(212) 476-9000  
(Registrant's telephone number, including area code)

ITEM 5. OTHER EVENTS

On March 26, 2001, Neuberger Berman Inc. (the "Corporation"), announced that it completed the asset acquisition of Fasciano Company, Inc., the investment advisor to Chicago-based Fasciano Fund, Inc., a small capitalization blend mutual fund. The Fasciano Fund, which was launched by Michael Fasciano in 1987, had approximately \$186 million in assets as of the closing. A copy of the press release issued by the Corporation is attached as Exhibit 99.1 and incorporated herein by reference.

ITEM 7. FINANCIAL STATEMENTS AND EXHIBITS

( c ) Exhibits

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99.1 Press release dated March 26, 2001.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Neuberger Berman Inc.  
(Registrant)

Date: April 5, 2001

By: /s/ Kevin Handwerker

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Kevin Handwerker  
Senior Vice President, General Counsel  
and Secretary

EXHIBIT INDEX

99.1 Press release issued by the Corporation on March 26, 2001, with respect to asset acquisition of Fasciano Company, Inc.