

Edgar Filing: KANG JAMES - Form 4

KANG JAMES  
Form 4  
April 16, 2003

-----  
OMB APPROVAL  
-----

OMB Number 3235-0287  
Expires: January 31, 2005  
Estimated average burden  
hours per response ..... 0.5  
-----

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

-----  
1. Name and Address of Reporting Person\*

Kang James

(Last) (First) (Middle)

25800 Commercentre Drive, Suite 100

(Street)

Lake Forest CA 92630

(City) (State) (Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

Liquidmetal Technologies (LQMT)

-----  
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

-----  
4. Statement for Month/Day/Year

April 14, 2003

-----  
5. If Amendment, Date of Original (Month/Day/Year)

-----  
6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Edgar Filing: KANG JAMES - Form 4

Chairman of the Board  
-----

7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

=====  
Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned  
=====

| 1.<br>Title of Security<br>(Instr. 3)    | 2.<br>Trans-<br>action<br>Date<br>(mm/dd/yy) | 2A.<br>Deemed<br>Execution<br>Date,<br>if any<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |        |
|--|--|---|---|---|--|------------------|--------|
|  |  |   | Code                                    | V | Amount   | (A)<br>or<br>(D) | Price  |
| Liquidmetal Technologies<br>Common Stock | 04/14/03                                     |   | S(1)                                    |   | 3,400(1)   | D(1)             | \$4.80 |
| Liquidmetal Technologies<br>Common Stock | 04/14/03                                     |   | S(1)                                    |   | 400(1)   | D(1)             | \$4.81 |
| Liquidmetal Technologies<br>Common Stock | 04/14/03                                     |   | S(1)                                    |   | 2,000(1)   | D(1)             | \$4.85 |
| Liquidmetal Technologies<br>Common Stock | 04/14/03                                     |   | S(1)                                    |   | 200(1)   | D(1)             | \$4.86 |
| Liquidmetal Technologies<br>Common Stock | 04/14/03                                     |   | S(1)                                    |   | 100(1)   | D(1)             | \$4.88 |
| Liquidmetal Technologies<br>Common Stock | 04/14/03                                     |   | S(1)                                    |   | 100(1)   | D(1)             | \$4.90 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03                                     |   | S(1)                                    |   | 7,000(1)   | D(1)             | \$4.25 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03                                     |   | S(1)                                    |   | 3,200(1)   | D(1)             | \$4.26 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03                                     |   | S(1)                                    |   | 3,000(1)   | D(1)             | \$4.27 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03                                     |   | S(1)                                    |   | 4,900(1)   | D(1)             | \$4.28 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03                                     |   | S(1)                                    |   | 4,300(1)   | D(1)             | \$4.29 |

Edgar Filing: KANG JAMES - Form 4

|  |          |       |           |       |        |
|--|----------|-------|-----------|-------|--------|
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 9,100 (1) | D (1) | \$4.30 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 2,400 (1) | D (1) | \$4.31 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 3,500 (1) | D (1) | \$4.32 |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b) (v).

- (1) These shares were sold on Mr. Kang's account by a lender of Mr. Kang as a result of the exercise of a security interest held by the lender. These shares were under the control of Merrill Lynch as the secured lender, and the sale was involuntary on the part of Mr. Kang. Mr. Kang expects that this loan will be repaid without any additional stock sales.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

OMB APPROVAL

OMB Number 3235-0287  
Expires: January 31, 2005  
Estimated average burden  
hours per response ..... 0.5

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

Kang James

(Last)

(First)

(Middle)

25800 Commercentre Drive, Suite 100

(Street)

Edgar Filing: KANG JAMES - Form 4

Lake Forest CA 92630

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Liquidmetal Technologies (LQMT)

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Day/Year

April 14, 2003

5. If Amendment, Date of Original (Month/Day/Year)

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Chairman of the Board

7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3)    | 2.<br>Trans-<br>action<br>Date<br>(mm/dd/yy) | 2A.<br>Deemed<br>Execution<br>Date,<br>if any<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |        |
|--|--|---|---|---|--|------------------|--------|
|  |  |   | Code                                    | V | Amount   | (A)<br>or<br>(D) | Price  |
| Liquidmetal Technologies<br>Common Stock | 04/15/03                                     |   | S(1)                                    |   | 6,500(1)   | D(1)             | \$4.33 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03                                     |   | S(1)                                    |   | 4,900(1)   | D(1)             | \$4.34 |
| Liquidmetal Technologies                 |  |   |   |   |  |                  |        |

Edgar Filing: KANG JAMES - Form 4

|  |          |       |            |       |        |
|--|----------|-------|------------|-------|--------|
| Common Stock                             | 04/15/03 | S (1) | 25,100 (1) | D (1) | \$4.35 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 300 (1)    | D (1) | \$4.36 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 3,200 (1)  | D (1) | \$4.37 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 100 (1)    | D (1) | \$4.38 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 8,600 (1)  | D (1) | \$4.40 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 100 (1)    | D (1) | \$4.42 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 200 (1)    | D (1) | \$4.43 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 100 (1)    | D (1) | \$4.44 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 500 (1)    | D (1) | \$4.45 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 4,100 (1)  | D (1) | \$4.46 |
| Liquidmetal Technologies<br>Common Stock | 04/15/03 | S (1) | 100 (1)    | D (1) | \$4.50 |
| Liquidmetal Technologies<br>Common Stock | 04/16/03 | S (1) | 3,600 (1)  | D (1) | \$4.30 |
| Liquidmetal Technologies<br>Common Stock | 04/16/03 | S (1) | 14,700 (1) | D (1) | \$4.35 |
| Liquidmetal Technologies<br>Common Stock | 04/16/03 | S (1) | 11,100 (1) | D (1) | \$4.40 |
| Liquidmetal Technologies<br>Common Stock | 04/16/03 | S (1) | 800 (1)    | D (1) | \$4.31 |

\* If the Form is filed by more than one Reporting Person, see Instruction 4 (b) (v).

- (1) These shares were sold on Mr. Kang's account by a lender of Mr. Kang as a result of the exercise of a security interest held by the lender. These shares were under the control of Merrill Lynch as the secured lender, and the sale was involuntary on the part of Mr. Kang. Mr. Kang expects that this loan will be repaid without any additional stock sales.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)



Edgar Filing: KANG JAMES - Form 4

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

Page 2