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JAMIESON DOUGLAS R

Form 4 April 05, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Check this box

OMB Number:

Expires:

3235-0287 January 31,

0.5

if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average

5. Relationship of Reporting Person(s) to

burden hours per response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

JAMIESON DOUGLAS R			Symbol GAMCO INVESTORS, INC. ET AL [GBL]					Issuer (Check all applicable)					
												(Last) (First) (Middle)	
C/O CAMCO INVESTORS			(Month/Day/Year)					X_ Officer (give title Other (specify below)					
C/O GAMCO INVESTORS, INC., ONE CORPORATE CENTER			04/04/2018					President & COO of GAMCO Asset					
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check					
(Subst)			Filed(Month/Day/Year)					Applicable Line)					
RYE, NY 10580								_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Secur	ities Ac	quired, Disposed	of, or Beneficia	ally Owned			
1.Title of	2. Transaction Dat			3.	4. Securi			5. Amount of	6. Ownership	7. Nature of Indirect			
Security (Instr. 3)	(Month/Day/Year)	any	Execution Date, if		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1					Securities Beneficially			
(======================================		(Month/Day	y/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)				
						(A) or		Reported Transaction(s)					
				Code V	Amount	(D)	Price	(Instr. 3 and 4)					
Class A	0.410.410.01.0				7 000		445	12.420					
Common Stock	04/04/2018			A	5,000	A	<u>(1)</u>	13,439	D				
Class A										Custodian			
Common Stock								820	I	of UGMA account (2)			
Class B													
Common Stock								29,471 <u>(3)</u>	D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date		Amou	nt of	Derivative	Deriv
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
		Derivative				Securities			(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						
						4, and 5)						
										A		
										Amount		
								Expiration Date		or		
										Number		
					~					of		
					Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

JAMIESON DOUGLAS R C/O GAMCO INVESTORS, INC. ONE CORPORATE CENTER RYE, NY 10580

President & COO of GAMCO Asset

Signatures

/s/ Douglas R. 04/05/2018 Jamieson

**Signature of Reporting Date Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - These shares represent restricted stock that was awarded to the Reporting Person pursuant to the Issuer's Stock Award and Incentive Plan.
- (1) Thirty percent of these shares vest after three years from the date of the award and the remaining seventy percent vest after five years from the date of the award.
- Mr. Jamieson is the UGMA custodian for his minor child's UGMA account. Mr. Jamieson has voting and dispositive control of these **(2)** shares.
- Pursuant to a resolution approved by the Issuer's Board of Directors, as of the date of this filing, there are 600,230 shares of Class B Stock (3) that can be converted into Class A Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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