GAMCO INVESTORS, INC. ET AL

Form 4 June 16, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Expires:

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: 3235-0287

January 31,

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5

SECURITIES

Expires. 2005 Estimated average burden hours per

obligations
may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

Class B Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * JAMIESON DOUGLAS R			2. Issuer Name <b>and</b> Ticker or Trading Symbol GAMCO INVESTORS, INC. ET AL						5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
			[GBL]						(Check an applicable)			
(Last) (First) (Middle)  C/O GAMCO INVESTORS, INC., ONE CORPORATE CENTER		ŕ	3. Date of Earliest Transaction (Month/Day/Year) 06/16/2017						Director 10% Owner Other (specify below)  President & COO			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
RYE, NY 10580												
(City)	(State) (Zip) Table I - Non-Derivative Securities					ities Ac	cquired, Disposed of, or Beneficially Owned					
1.Title of Security (Instr. 3)	2. Transaction Data (Month/Day/Year)		Date, if	Code (Instr. 8	3)	4. SecurionAcquired Disposed (Instr. 3,	l (A) o l of (D	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	06/16/2017			J <u>(1)</u>		820	D	\$0	2,460	I	Custodian of UGMA account (2)	
Class A Common Stock									11,115	D		

 $29,471 \frac{(3)}{2}$ 

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title a	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	nber Expiration Date		Amount	of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									mount		
						Date	Expiration	01			
						Exercisable	Date				
								of			
				Code V	(A) (D)			S	hares		

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

JAMIESON DOUGLAS R C/O GAMCO INVESTORS, INC. ONE CORPORATE CENTER RYE, NY 10580

President & COO

#### **Signatures**

/s/ Douglas R. Jamieson 06/16/2017

\*\*Signature of Reporting Date
Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares transferred from an Uniform Gifts to Minors Act ("UGMA") account over which Mr. Jamieson had voting and dispositive control to an Individual account which Mr. Jamieson does not have voting or dispositive control.
- (2) Mr. Jamieson is the Uniform Gifts to Minors Act ("UGMA") custodian for his minor childrens' UGMA accounts. Mr. Jamieson has voting and dispositive control of these shares.
- (3) Pursuant to a resolution approved by the Issuer's Board of Directors, as of the date of this filing, there are 668,027 shares of Class B Stock that can be converted into Class A Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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