#### **DUKES LAURA ALLISON**

Form 4

January 08, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

3235-0287

January 31,

**OMB** 

Number:

Expires:

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **DUKES LAURA ALLISON** Issuer Symbol SUNTRUST BANKS INC [STI] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 303 PEACHTREE STREET, NE 12/17/2018 below) Chief Financial Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ATLANTA, GA 30308 Person (City) (State) (Zip)

(City)	(511110)	Table	I - Non	-De	rivative S	ecurit	ies Acc	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.		4. Securit	ties		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year) Execution Date,		Transactio		nAcquired (A) or		Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code		Disposed of (D)		Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8	3)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership
								Following	(Instr. 4)	(Instr. 4)
						(A)		Reported		
						(A)		Transaction(s)		
			Code	v	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	12/17/2018		G	V	958	D	\$0	19,987	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)			te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options (1)	\$ 27.41					02/26/2016	02/26/2023	Common Stock	5,061
Phantom Stock (2)	<u>(2)</u>					02/09/2019	02/09/2019	Common Stock	2,271.765
Phantom Stock (2)	<u>(2)</u>					02/14/2019	02/14/2019	Common Stock	1,482.504
Phantom Stock (2)	<u>(2)</u>					02/14/2020	02/14/2020	Common Stock	1,482.504
Phantom Stock (2)	<u>(2)</u>					02/13/2019	02/13/2019	Common Stock	1,840.333
Phantom Stock (2)	<u>(2)</u>					02/13/2020	02/13/2020	Common Stock	1,840.333
Phantom Stock (2)	<u>(2)</u>					02/13/2021	02/13/2021	Common Stock	1,840.333
Phantom Stock (2)	<u>(2)</u>					08/09/2019	08/09/2019	Common Stock	24,273.897

# **Reporting Owners**

\*\*Signature of Reporting Person

Reporting Owner Name / Address	Kelationships							
. 0	Director	10% Owner	Officer	Other				
DUKES LAURA ALLISON 303 PEACHTREE STREET, NE ATLANTA, GA 30308			Chief Financial Officer					
Signatures								
Curt Phillips, Attorney-in-Fact for Dukes	L. Alliso	on	01/08/2019					

Reporting Owners 2

Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to the SunTrust Banks, Inc. 2009 Stock Plan.
  - Represents time-vested restricted stock units granted pursuant to the SunTrust Banks, Inc. 2009 Stock Plan. The Plan is exempt under
- (2) Rule 16b-3. Units will be settled in shares of common stock. The award agreements contain tax withholding features that allow us to withhold units to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.