## Edgar Filing: LOWES COMPANIES INC - Form 3

#### LOWES COMPANIES INC Form 3 February 02, 2016 **FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION** Washington, D.C. 20549 OMB

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Peace Nelson B			<ul><li>2. Date of Event Requiring Statement</li><li>(Month/Day/Year)</li></ul>	3. Issuer Name and Ticker or Trading Symbol LOWES COMPANIES INC [LOW]				
(Last)	(First)	(Middle)	01/29/2016	4. Relationshi Person(s) to Is		g	5. If Amendment, Date Original Filed(Month/Day/Year)	
1000 LOWE'S BOULEVARD (Street)			(Check all		all applicable)		6. Individual or Joint/Group	
MOORESV	ILLE, NO	CÂ 28117		Director0% Owner XOfficerOther (give title below) (specify below) Corp Administration Executive		Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - N	Non-Derivati	ive Securit	ies Be	neficially Owned	
1.Title of Secur (Instr. 4)	ity		2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	-	
Common Sto	ock		10,110		D	Â		
Common Stock			5,713.512		Ι	by 401(k) Plan		
			1 1 6 1 1 6 1					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

SEC 1473 (7-02)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

Estimated average burden hours per

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Benefit Restoration Plan Stock Units	(1)	(1)	Common Stock	850.834	\$ <u>(1)</u>	D	Â
Non-Qualified Stock Option (right to buy)	(2)	03/01/2019	Common Stock	25,000	\$ 28.38	D	Â
Non-Qualified Stock Option (right to buy)	( <u>3)</u>	03/01/2023	Common Stock	11,000	\$ 38.38	D	Â
Non-Qualified Stock Option (right to buy)	(4)	09/15/2024	Common Stock	8,000	\$ 53.13	D	Â
Non-Qualified Stock Option (right to buy)	(5)	09/15/2025	Common Stock	7,130	\$ 69.44	D	Â

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Peace Nelson B 1000 LOWE'S BOULEVARD MOORESVILLE, NC 28117	Â	Â	Corp Administration Executive	Â		
Signatures						

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By: /s/ Sandra Felton by power of attorney For: Nelson B. Peace	02/02/2016
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*
- Each unit is the equivalent of one share of common stock. In accordance with the terms of the Benefit Restoration Plan, the value of the (1) units becomes payable in cash following the reporting person's termination.
- (2) The option vests in three annual installments beginning on March 1, 2013.
- (3) The option vests in three annual installments beginning on March 1, 2014.
- (4) The option vests in three annual installments beginning on September 15, 2015.
- (5) The option vests in three annual installments beginning on September 15, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.