VALHI INC /DE/ Form 4

August 07, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* SIMMONS HAROLD C

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

VALHI INC /DE/ [VHI]

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 08/07/2013

\_X\_\_ Director X\_ Officer (give title

\_X\_\_ 10% Owner \_ Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

below) Chairman of the Board

5430 LBJ FREEWAY, SUITE 1700

(Street)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

DALLAS, TX 75240

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative S	ecuri	ies Acqui	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securitie op Disposed (Instr. 3, 4	d of (E	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common stock, \$0.01 par value per share	08/07/2013		Code V <u>J(1)</u>	Amount 1,800	(D)	Price	1,647,881	I	By Contran (2)
Common stock, \$0.01 par value per share	08/07/2013		J <u>(1)</u>	3,200	A	\$ 18.28	1,651,081	I	By Contran
Common stock,	08/07/2013		<u>J(1)</u>	5,000	A	\$ 18.3	1,656,081	Ι	By Contran

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\$0.01 par value per share								(2)
Common stock, \$0.01 par value per share	08/07/2013	J <u>(1)</u>	197,100	A	\$ 18.5	1,853,181	I	By Contran
Common stock, \$0.01 par value per share	08/07/2013	J <u>(1)</u>	50,000	A	\$ 18.75	1,903,181	I	By Contran
Common stock, \$0.01 par value per share						1,851,880	D	
Common stock, \$0.01 par value per share						314,033,148	I	by VHC
Common stock, \$0.01 par value per share						1,100,541	I	by CDCT
Common stock, \$0.01 par value per share						818,514	I	by Spouse (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Owne

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Security Acquired
(A) or
Disposed

of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date

Relationshine

D) Date Exercisable Expiration Title Amount Date or

or Number Follo

Repo

Trans

(Insti

of Shares

## **Reporting Owners**

5430 LBJ FREEWAY, SUITE 1700

Reporting Owner Name / Address	Kelationships						
	Director	10% Owner	Officer	Other			
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	X	X	Chairman of the Board				
CONTRAN CORP							

DALLAS, TX 75240

**Signatures** 

A. Andrew R. Louis, Attorney-in-fact, for Harold C.

Simmons 08/07/2013

\*\*Signature of Reporting Person Date

A. Andrew R. Louis, Secretary, for Contran Corporation

08/07/2013 Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

X

- (1) Open market purchase by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (2) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (3) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- (4) Directly owned by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- Directly held by the reporting person's spouse. Mr. Simmons disclaims beneficial ownership of any shares of the issuer's common stock (5) that his spouse holds. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationships to the persons joining in this filing.

#### Remarks:

**Exhibit Index** 

Reporting Owners 3

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#### Exhibit 99 - Additional Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.