

SIMMONS HAROLD C
Form 4
February 12, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SIMMONS HAROLD C

(Last) (First) (Middle)

5430 LBJ FREEWAY, SUITE 1700

(Street)

DALLAS, TX 75240

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
VALHI INC /DE/ [VHI]

3. Date of Earliest Transaction (Month/Day/Year)
02/12/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman of the Board

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (D) or Price (A)		
Common stock, \$0.01 par value per share	02/12/2013		J ⁽¹⁾		2,383 A \$ 16.7425	82,628	I By Contran ⁽²⁾
Common stock, \$0.01 par value per share	02/12/2013		J ⁽¹⁾		1,000 A \$ 16.7	83,628	I By Contran ⁽²⁾
Common stock,	02/12/2013		J ⁽¹⁾		1,200 A \$ 16.6867	84,828	I By Contran

Edgar Filing: SIMMONS HAROLD C - Form 4

\$0.01 par value per share								<u>(2)</u>
Common stock, \$0.01 par value per share	02/12/2013	<u>J(1)</u>	1,632	A	\$ 16.65	86,460	I	By Contran <u>(2)</u>
Common stock, \$0.01 par value per share	02/12/2013	<u>J(1)</u>	985	A	\$ 16.55	87,445	I	By Contran <u>(2)</u>
Common stock, \$0.01 par value per share	02/12/2013	<u>J(1)</u>	5,212	A	\$ 16.5	92,657	I	By Contran <u>(2)</u>
Common stock, \$0.01 par value per share	02/12/2013	<u>J(1)</u>	3,817	A	\$ 16.4499	96,474	I	By Contran <u>(2)</u>
Common stock, \$0.01 par value per share	02/12/2013	<u>J(1)</u>	617	A	\$ 16.4483	97,091	I	By Contran <u>(2)</u>
Common stock, \$0.01 par value per share	02/12/2013	<u>J(1)</u>	1,000	A	\$ 16.3999	98,091	I	By Contran <u>(2)</u>
Common stock, \$0.01 par value per share	02/12/2013	<u>J(1)</u>	1,183	A	\$ 16.3995	99,274	I	By Contran <u>(2)</u>
Common stock, \$0.01 par value per share	02/12/2013	<u>J(1)</u>	1,000	A	\$ 16.3799	100,274	I	By Contran <u>(2)</u>
Common stock, \$0.01 par	02/12/2013	<u>J(1)</u>	1,000	A	\$ 16.342	101,274	I	By Contran <u>(2)</u>

Edgar Filing: SIMMONS HAROLD C - Form 4

value per share									
Common stock, \$0.01 par value per share	02/12/2013		J ⁽¹⁾	1,000	A	\$ 16.2242	102,274	I	By Contran ⁽²⁾
Common stock, \$0.01 par value per share							1,840,880	D	
Common stock, \$0.01 par value per share							314,033,148	I	by VHC ⁽³⁾
Common stock, \$0.01 par value per share							1,100,541	I	by CDCT ⁽⁴⁾
Common stock, \$0.01 par value per share							818,514	I	by Spouse ⁽⁵⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Benef Own Follo Repo Trans (Instr
							Title		

Date	Expiration	Amount
Exercisable	Date	or
		Number
		of
		Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SIMMONS HAROLD C 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240	X	X	Chairman of the Board	
CONTRAN CORP 5430 LBJ FREEWAY, SUITE 1700 DALLAS, TX 75240		X		

Signatures

A. Andrew R. Louis, Attorney-in-fact, for Harold C. Simmons
02/12/2013
Date

**Signature of Reporting Person

A. Andrew R. Louis, Secretary, for Contran Corporation
02/12/2013
Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Open market purchase by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (2) Directly held by Contran Corporation. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship between the persons joining in this filing.
- (3) Directly held by Valhi Holding Company. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- (4) Directly owned by the Contran Amended and Restated Deferred Compensation Trust. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationship to the persons joining in this filing.
- (5) Directly held by the reporting person's spouse. Mr. Simmons disclaims beneficial ownership of any shares of the issuer's common stock that his spouse holds. See the Additional Information filed as Exhibit 99 to this statement for a description of the relationships to the persons joining in this filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.