

Edgar Filing: GUTIERREZ CARLOS M - Form 4

GUTIERREZ CARLOS M  
Form 4  
February 25, 2003

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

|                                   |          |            |
|-----------------------------------|----------|------------|
| Gutierrez                         | Carlos   | M.         |
| (Last)                            | (First)  | (Middle)   |
| One Kellogg Square<br>PO Box 3599 |          |            |
|                                   | (Street) |            |
| Battle Creek                      | MI       | 49016-3599 |
| (City)                            | (State)  | (Zip)      |

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2. Issuer Name and Ticker or Trading Symbol

Kellogg Company (K)

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

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4. Statement for Month/Year

February 21, 2003

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman of the Board, Chief Executive Officer  
Co-Trustee of trust holding more than 10% of common stock(1)



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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Title | Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|--|--|---|---|--|
| Stock Option   | \$50.125  |  |  |  | 8/7/98 3/14/07  | Common<br>Stock   | 40,024                                 |
| Stock Option   | \$50.125  |  |  |  | 8/7/97 1/21/04  | Common<br>Stock   | 1,550                                  |
| Stock Option   | \$44.9375   |  |  |  | 2/3/98 1/20/05  | Common<br>Stock   | 23,480                                 |
| Stock Option   | \$44.9375   |  |  |  | 2/3/98 1/21/04  | Common<br>Stock   | 11,092                                 |
| Stock Option   | \$44.9375   |  |  |  | 2/3/98 1/21/04  | Common<br>Stock   | 3,848                                  |
| Stock Option   | \$44.9375   |  |  |  | 2/3/98 3/15/06  | Common<br>Stock   | 41,589                                 |
| Stock Option   | \$43.9375   |  |  |  | 3/13/99 3/13/08   | Common<br>Stock   | 70,000                                 |
| Stock Option   | \$43.9375   |  |  |  | 3/13/99 3/13/08   | Common<br>Stock   | 13,505                                 |
| Stock Option   | \$40.1875   |  |  |  | 6/23/99 6/23/08   | Common<br>Stock   | 40,000                                 |

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|                 |           |         |   |         |         |         |                 |         |
|-----------------|-----------|---------|---|---------|---------|---------|-----------------|---------|
| Stock Option    | \$34.625  |         |   |         | 1/4/00  | 1/4/09  | Common<br>Stock | 80,000  |
| Stock Option    | \$40.4063 |         |   |         | 2/2/99  | 3/15/06 | Common<br>Stock | 3,037   |
| Stock Option    | \$27.425  |         |   |         | 2/16/02 | 2/16/11 | Common<br>Stock | 150,948 |
| Stock Option    | \$29.825  |         |   |         | 7/31/01 | 1/31/10 | Common<br>Stock | 103,232 |
| Stock Option    | \$29.925  |         |   |         | 7/31/01 | 1/31/10 | Common<br>Stock | 8,895   |
| Stock Option    | \$33.345  |         |   |         | 2/19/02 | 1/31/01 | Common<br>Stock | 37,020  |
| Stock Option    | \$33.345  |         |   |         | 2/19/03 | 1/31/01 | Common<br>Stock | 106,579 |
| Stock Option(4) | \$34.635  |         |   |         | 2/22/03 | 2/22/12 | Common<br>Stock | 550,000 |
| Stock Option    | \$34.07   |         |   |         | 8/19/02 | 2/16/11 | Common<br>Stock | 162,564 |
| Stock Option    | \$31.485  |         |   |         | 2/19/03 | 2/16/11 | Common<br>Stock | 179,774 |
| Stock Option(5) | \$31.18   | 2/21/03 | A | 460,000 | 2/21/04 | 2/21/13 | Common<br>Stock | 460,000 |

Explanation of Responses:

- (1) Filer hereby disclaims beneficial ownership of shares held by the W. K. Kellogg Foundation Trust
- (2) As of 12/31/02.
- (3) Excludes dividends reinvested after 12/31/02.
- (4) The option became exercisable in two equal annual installments beginning February 22, 2003.
- (5) The option becomes exercisable in two equal annual installments beginning February 21, 2004.

/s/ James K. Markey

February 25, 2003

\*\*Signature of Reporting Person  
James K. Markey, Attorney-in-fact

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

