

MARGULIS HEIDI S  
Form 4  
May 07, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MARGULIS HEIDI S

(Last) (First) (Middle)

HUMANA INC., 500 WEST MAIN STREET

(Street)

LOUISVILLE, KY 40202

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HUMANA INC [HUM]

3. Date of Earliest Transaction (Month/Day/Year)  
05/03/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Chief Corp. Affairs Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Humana Common	05/03/2018		M		1,444	A	\$ 102.155
					9,220		
Humana Common	05/03/2018		M		9,335	A	\$ 164.645
					18,555		
Humana Common	05/03/2018		M		9,842	A	\$ 167.805
					28,397		
Humana Common	05/03/2018		S		20,621	D	\$ 285.9021
							<u>(10)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Options <sup>(1)</sup>	\$ 102.155	05/03/2018		M	1,444	<sup>(1)</sup> 02/18/2021	Humana Common	1,444
Options <sup>(2)</sup>	\$ 164.645	05/03/2018		M	9,335	<sup>(2)</sup> 02/24/2022	Humana Common	9,335
Options <sup>(3)</sup>	\$ 167.805	05/03/2018		M	9,842	<sup>(3)</sup> 02/18/2023	Humana Common	9,842
Options <sup>(4)</sup>	\$ 217.415					<sup>(4)</sup> 03/08/2024	Humana Common	19,497
Options <sup>(5)</sup>	\$ 268.47					<sup>(5)</sup> 02/19/2025	Humana Common	5,218
Restricted Stock Units <sup>(6)</sup>	<sup>(6)</sup>					<sup>(7)</sup> <sup>(7)</sup>	Humana Common	1,093
Restricted Stock Units <sup>(6)</sup>	<sup>(6)</sup>					<sup>(8)</sup> <sup>(8)</sup>	Humana Common	3,487
Restricted Stock Units <sup>(5)</sup>	<sup>(6)</sup>					<sup>(9)</sup> <sup>(9)</sup>	Humana Common	1,211

## Reporting Owners

Reporting Owner Name / Address	Relationships		
	Director	10% Owner	Officer
MARGULIS HEIDI S HUMANA INC.			Chief Corp. Affairs Officer

