Edgar Filing: HALLIBURTON CO - Form 4

May 19, 2017 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION UNITED STATES SECURITIES AND EXCHANGE COMMISSION UNITED STATES SECURITIES AND EXCHANGE COMMISSION UNITED STATES SECURITIES Subject to Section 16. Form 4 or Form 5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(b). (Print or Type Response) 1. Name and Address of Reporting Person 1(c). (Street) 1. If Amendment, Date Original Filed(Month/Day/Year) (Street) 1. If Amendment, Date Original Filed(Month/Day/Year) 1. Table 1- Non-Derivative Securities Acquired S. Amount of Act PRO 1. Title of 2. Transaction Date 2. A. Securities 3. 4. Securities Acquired S. Amount of 3. 6. Securities 3. 6	HALLIBURT	CON CO										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Number: 3225-0287 Check this box if no longer subject to Section 16. Form 4 or 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES State Instruction SECURITIES Expires: 3225-0287 Filed pursuant to Section 16. obligations may continue. See Instruction 1(b). State Instruction 30(h) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 1(b). State Instruction 1(b). (Print or Type Responses) 1. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person 1 (Last) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) N. SAM HOUSTON PARKWAY E: 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Person HOUSTON, TX 7702 City (State) 2.) Detender Applicable Line) - Security 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired Scurity 5. Amount of 6 7. Nature of Security	Form 4											
Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES MB Number: 2005 Setimated average burden hours per response MB Number: 2005 Setimated average burden hours per response Form 4 or Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 1(b). S. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Check all applicable) HALLIBURTON COMPANY, 3000 N. SAM HOUSTON PARKWAY E. 05/17/2017 Director Komplet Investor Person Suborty Defension (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by More than One Reporting Person HOUSTON, TX 77032 City (Zip) Table 1 - Non-Derivative Securities Acquired 3. 4. Securities Acquired 5. Amount of City 6. 7. Nature of Nomership Indirect	May 19, 2017	1										
UNITED STATES SHOULTIES AND EXCHANCE CONTINISION OMB 3235-0287 Washington, D.C. 20549 Number: January 31, 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Subject to SECURTIES Expires: January 31, 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: January 31, 2005 Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 Check all applicable of Reporting Person(s) to Issuer Pope Lawrence J Symbol Issuer Issuer HALLIBURTON CO [HAL] (Check all applicable) Other (specify below) Elow) HALLIBURTON COMPANY, 3000 05/17/2017 Director It Month/Day/Year) Other (specify below) Kortei) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) X. Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired 5. Amount of 6. 7. Nature of (City)	FORM 4									OMB AP	PROVAL	
Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: January 31, 2005 Section 16. SECURITIES State of the securities Exchange Act of 1934, obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section (b), of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section (b), of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol S. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)		UNITED	STATES S					NGE C	OMMISSION		3235-0287	
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: 2005 Setimated average burden hours per response. Form 4 or Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Section 17(a) of the Public Utility Holding Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting Person 1 (b). 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	Check this	box		Was	shington	, D.C. 20	549			Number:	January 31,	
subject to STATEMENT OF CHARGES IN BEREFICIAL OWNERSHIP OF Estimated average burden hours per response. Section 16. SECURITIES burden hours per response 0.5 Form 4 or		a #								Expires:		
Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). 1935 or Section 1940 0.5 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1(b). 5. Relationship of Reporting Person*, Symbol 5. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	subject to	SIAIE	MENT OF	CHAN					NERSHIP OF			
Form 5 obligations may continue. See instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer Pope Lawrence J Symbol Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) HALLIBURTON COMPANY, 3000 05/17/2017 — Director — 2. Officer (give title — 0ther (specify below) Other (specify below) Kureet) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) HOUSTON, TX 77032 Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (City) (State) (Zip) Table I - Non-Derivative Securities Acquired 5. Amount of 6. 7. Nature of Security					SECURITIES					•		
obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). 1935 or Section 1940 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Print or Type Responses) 3. Date of Earliest Transaction (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) — — Director V. Officer (give tile) 10% Owner N. SAM HOUSTON PARKWAY E. (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) 5. Very Administration & CHRO HOUSTON, TX 77032 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security			manament to Sa	action 1	6(a) of the	o Coordit		vohona	Λ at of 1024	response		
Image continue. See Instruction 1(b). 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) HALLIBURTON COMPANY, 3000 05/17/2017 Director 10% Owner X_Officer (give tile Other (specify below) 05/17/2017 Korteet) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) HOUSTON, TX 77032 Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security		~ ^						•				
1(b). It is the induction of the person is the person of the person is the person is popel awrence J 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person is popel Lawrence J 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) HALLIBURTON COMPANY, 3000 3. Date of Earliest Transaction	-	nue.			•	•	- ·			l		
(Print or Type Responses) 1. Name and Address of Reporting Person * Pope Lawrence J 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction 6. Relationship of Reporting Person(s) to Issuer HALLIBURTON COMPANY, 3000 N. SAM HOUSTON PARKWAY E.		ction	50(II) 0	Ji the m	vestment	Compan	y At	101174	0			
1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	1(0).											
Pope Lawrence J Symbol Issuer Kasti (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) HALLIBURTON COMPANY, 3000 (Month/Day/Year)	(Print or Type Re	esponses)										
Pope Lawrence J Symbol Issuer Kasti (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) HALLIBURTON COMPANY, 3000 (Month/Day/Year)												
Image: Halliburton co [HAL] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Last) (First) (Middle) 3. Date of Earliest Transaction HALLIBURTON COMPANY, 3000 (Month/Day/Year) Director 10% Owner HALLIBURTON COMPANY, 3000 05/17/2017 SOfficer (give titleOfficer (give titleOfficer (specify below) N. SAM HOUSTON PARKWAY E. 05/17/2017 X_Officer (give titleOfficorup Filing(Check Filed(Month/Day/Year)) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) MOUSTON, TX 77032			Person [*]	2. Issuer	Name and Ticker or Trading							
(Last) (First) (Middle) 3. Date of Earliest Transaction (Last) (First) (Middle) 3. Date of Earliest Transaction HALLIBURTON COMPANY, 3000 (Month/Day/Year)	Pope Lawren	ice J	S	Symbol					Issuer			
(Last) (First) (Middle) 3. Date of Earliest Transaction HALLIBURTON COMPANY, 3000 (Month/Day/Year)]	HALLI	IBURTON CO [HAL]				(Check all applicable)			
HALLIBURTON COMPANY, 3000 05/17/2017 X Officer (give titleOther (specify below)	(Last)	(First) ((Middle)	3. Date of	of Earliest Transaction							
Image: Security Market (1, 5000 + 05) (1/12017) below) below) EVP Administration & CHRO N. SAM HOUSTON PARKWAY E. (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)	(Month/E				Day/Year)							
N. SAM HOUSTON PARKWAY E. (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 	HALLIBURTON COMPANY, 3000 05/17/2				017							
Filed(Month/Day/Year) Applicable Line) .X_ Form filed by One Reporting Person .X_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security 2. Transaction Date (Month/Day/Year) 3. 4. Securities Acquired (Disposed of (D)) 5. Amount of Securities Ownership 7. Nature of Indirect	N. SAM HOUSTON PARKWAY E.								· · · · · · · · · · · · · · · · · · ·			
Filed(Month/Day/Year) Applicable Line) _X_Form filed by One Reporting Person	(Street) 4 If Ame			endment. Date Original				6. Individual or Joint/Group Filing(Check				
X_Form filed by One Reporting Person	· · · · · · · · · · · · · · · · · · ·			· · · · · · · · · · · · · · · · · · ·				Applicable Line) _X_ Form filed by One Reporting Person				
Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect												
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of Securities 6. 7. Nature of Ownership	HOUSTON,	TX 77032								ore than One Rep	porting	
1.Title of Security2. Transaction Date 2A. Deemed3.4. Securities Acquired5. Amount of Securities6.7. Nature of Indirect1.Title of Security(Month/Day/Year)Execution Date, if Transaction(A) or Disposed of (D)SecuritiesOwnershipIndirect	(City)	(State)	(Zin)									
Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect	(eny)	(State)	(Zip)	Tabl	e I - Non-I	Derivative S	Securi	ities Acq	uired, Disposed of,	or Beneficiall	y Owned	
(Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial	-	(Month/Day/Year)	Execution Date, if any		Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				Securities Beneficially	•		
	(Instr. 5)			v/Year)	(Instr. 8)				•			
Following Indirect (I) (Instr. 4)										Indirect (I) (Instr.	(Instr. 4)	
(A) Reported (Instr. 4) Transaction(a)							(A)			(Instr. 4)		
or (Instr. 3 and 4)							or					
Code V Amount (D) Price					Code V	Amount	(D)		(mour. o und +)			
Common 54,089 54,089 54,024 214,312.096	Common	05/17/0017				54,089			214,312.096	D		
Stock $05/17/2017$ A (1) A 46.34 (3) D	Stock	05/1//201/			А		A			D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: HALLIBURTON CO - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to Buy Common Stock	\$ 53.54					12/07/2016	12/07/2026	Common Stock	30,500	
Option to Buy Common Stock	\$ 38.95					12/02/2015	12/02/2025	Common Stock	44,500	
Option to Buy Common Stock	\$ 40.75					12/03/2014	12/03/2024	Common Stock	47,400	
Option to Buy Common Stock	\$ 50.62					12/04/2013	12/04/2023	Common Stock	29,400	
Option to Buy Common Stock	\$ 33.5					12/05/2012	12/05/2022	Common Stock	38,500	
Option to Buy Common Stock	\$ 35.57					12/06/2011	12/06/2021	Common Stock	28,300	
Option to Buy Common Stock	\$ 39.19					12/01/2010	12/01/2020	Common Stock	23,000	
Option to Buy Common Stock	\$ 29.35					12/01/2009	12/01/2019	Common Stock	26,500	
	\$ 36.9					12/05/2007	12/05/2017		9,100	

Option to Buy Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Pope Lawrence J HALLIBURTON COMPANY 3000 N. SAM HOUSTON PARKWAY E. HOUSTON, TX 77032			EVP Administration & CHRO					
Signatures								
Bruce A. Metzinger, by Power of Attorney	05/19	9/2017						
**Signature of Reporting Person	D	ate						
Explanation of Response	es:							

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares awarded pursuant to the Halliburton Company Stock and Incentive Plan. Said Plan provides for the surrender of common stock to (1) the Issuer to satisfy withholding tax obligations.
- (2) On May 17, 2017, the closing price of Halliburton Company's Common Stock on the New York Stock Exchange was \$46.34.
- Includes 242.057 shares of stock purchased through the Halliburton Company Employee Stock Purchase Plan for the period ended March (3) 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Common Stock