

MARCY CHARLES F  
Form 4  
November 22, 2017

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MARCY CHARLES F

(Last) (First) (Middle)

FARMER BROS CO., 1912  
FARMER BROTHERS DRIVE

(Street)

NORTHLAKE, TX 76262

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

FARMER BROTHERS CO [FARM]

3. Date of Earliest Transaction (Month/Day/Year)

11/20/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock, \$1.00 par value	11/20/2017		P		200 <sup>(1)</sup> \$ 33.475	I	Held in SEP IRA
Common Stock, \$1.00 par value	11/21/2017		P		800 <sup>(1)</sup> \$ 33.7375	I	Held in SEP IRA
Common Stock, \$1.00 par value					4,577 <sup>(2)</sup>	D	



## Edgar Filing: MARCY CHARLES F - Form 4

- This transaction was executed in multiple trades at prices ranging from \$33.65 to \$33.85. The price reported above is the weighted
- (2) average purchase price. The Reporting Person hereby undertakes to provide upon request by the SEC, the Issuer, or a security holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.
  - (3) Held by the Reporting Person indirectly in the First Amendment to and Restatement of Charles F. Marcy Revocable Trust u/a/d 1/12/2015. Reporting Person has sole voting and dispositive power and is the sole beneficiary of the trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.