

Wang Theodore T  
Form 3  
April 13, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |         |                                      |   |  |
|--|---------|--------------------------------------|---|--|
| 1. Name and Address of Reporting Person *      |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol  |  |
| Â Puissance Cross-Border Opportunities III LLC |         | (Month/Day/Year)                     | Bellerophon Therapeutics, Inc. [BLPH]   |  |
| (Last)   | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer  |  |
| 950 THIRD AVENUE,Â 25TH FL                     |         |                                      | (Check all applicable)  |  |
| (Street)                                       |         |                                      | <input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner<br><input type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below)    (specify below) |  |
| NEW YORK,Â NYÂ 10022                           |         |                                      | 5. If Amendment, Date Original Filed(Month/Day/Year)  |  |
| (City)   | (State) | (Zip)                                | 6. Individual or Joint/Group Filing(Check Applicable Line)  |  |
|  |         |                                      | <input type="checkbox"/> Form filed by One Reporting Person<br><input checked="" type="checkbox"/> Form filed by More than One Reporting Person   |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 8,298,755  | D   | Â  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

Edgar Filing: Wang Theodore T - Form 3

|                         | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) |   |
|-------------------------|------------------|-----------------|--------------|----------------------------|----------|---------------------------------------|---|
| Warrants (right to buy) | 03/29/2018       | 09/29/2022      | Common Stock | 8,298,755                  | \$ 1.242 | D                                     | Â |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Puissance Cross-Border Opportunities III LLC<br>950 THIRD AVENUE<br>25TH FL<br>NEW YORK, NY 10022            | Â             | Â X       | Â       | Â     |
| Puissance Capital Fund (GP) LLC<br>950 THIRD AVENUE<br>25TH FLOOR<br>NEW YORK, NY 10022                      | Â             | Â X       | Â       | Â     |
| Puissance Capital Management LP<br>950 THIRD AVENUE, 25TH FLOOR<br>NEW YORK, NY 10022                        | Â             | Â X       | Â       | Â     |
| Puissance Capital Management (GP) LLC<br>950 THIRD AVENUE<br>25TH FLOOR<br>NEW YORK, NY 10022                | Â             | Â X       | Â       | Â     |
| Wang Theodore T<br>C/O PUISSANCE CAPITAL MANAGEMENT LP<br>950 THIRD AVENUE, 25TH FLOOR<br>NEW YORK, NY 10022 | Â             | Â X       | Â       | Â     |

## Signatures

Theodore T. Wang  
10/02/2017

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Â

### Remarks:

- (1)These securities are held directly by Puissance Cross-Border Opportunities III LLC and may be de
- (2)This filing to the Initial Statement of Beneficial Ownership of Securities on Form 3, is being fi

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.