

MARTIN CHRISTOPHER P
Form 4
August 01, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MARTIN CHRISTOPHER P

2. Issuer Name and Ticker or Trading Symbol
PROVIDENT FINANCIAL SERVICES INC [PFS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
239 WASHINGTON STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
07/31/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President and CEO

JERSEY CITY, NJ 07302

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V Amount or (D) Price			
Common Stock	07/31/2018		M	(1) 5,000 A \$ 14.88	330,262	D	
Common Stock	07/31/2018		M	(2) 8,245 A \$ 15.23	338,507	D	
Common Stock	07/31/2018		M	(3) 20,570 A \$ 14.5	359,077	D	
Common Stock					147,031 (4)	I	By 401(k) Plan
Common Stock					15,480 (4)	I	By ESOP

Edgar Filing: MARTIN CHRISTOPHER P - Form 4

Common Stock	17,785	I	By Deferred Fee Plan
-----------------	--------	---	----------------------------

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Options	\$ 14.88	07/31/2018		M	5,000	02/03/2013	02/03/2022	Common Stock	5,000
Stock Options	\$ 15.23	07/31/2018		M	8,245	02/19/2014	02/19/2023	Common Stock	8,245
Stock Options	\$ 14.5	07/31/2018		M	20,570	02/24/2012	02/24/2021	Common Stock	20,570
Stock Options	\$ 25.58					03/05/2019	03/05/2028	Common Stock	43,124
Stock Options	\$ 26.31					03/07/2018	03/07/2027	Common Stock	42,857
Stock Options	\$ 18.34					02/19/2016	02/19/2025	Common Stock	65,972
Stock Options	\$ 16.38					02/19/2015	02/19/2024	Common Stock	35,000
Stock Options	\$ 16.38					03/04/2016	02/19/2024	Common Stock	45,762
Stock Options	\$ 18.7					02/24/2017	02/24/2026	Common Stock	76,327
Stock Options	\$ 14.5					02/24/2011	02/24/2021	Common Stock	14,623
Stock	\$ 15.23					03/04/2015	02/19/2023	Common	25,126

Options				Stock	
Stock	\$ 14.88			Common	22,542
Options			03/04/2015 02/03/2022	Stock	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MARTIN CHRISTOPHER P 239 WASHINGTON STREET JERSEY CITY, NJ 07302	X		Chairman, President and CEO	

Signatures

/s/ Leonard G. Gleason, Pursuant to Power of Attorney

08/01/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects exercise of options that expire on February 3, 2022.
- (2) Reflects exercise of options that expire on February 19, 2023.
- (3) Reflects exercise of options that expire on February 24, 2021.
- (4) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (5) Stock options have fully vested.
- (6) Stock options vest at a rate of 33.3% per year.
- (7) Stock options vest at a rate of 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.