

NetApp, Inc.  
Form 3  
January 14, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Bergmann Jeffrey K		(Month/Day/Year)	NetApp, Inc. [NTAP]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
495 EAST JAVA DRIVE				
(Street)			(Check all applicable)	
SUNNYVALE,Â CAÂ 94089			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Interim CFO	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	10,377	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	

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				Shares		(I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	07/01/2012 <sup>(1)</sup>	05/31/2019	Common Stock	8,000	\$ 29.44	D	Â
Non-Qualified Stock Option (right to buy)	07/03/2014 <sup>(2)</sup>	06/02/2021	Common Stock	5,470	\$ 36.59	D	Â
Non-Qualified Stock Option (right to buy)	07/01/2010 <sup>(3)</sup>	05/31/2017	Common Stock	4,688	\$ 37.62	D	Â
Non-Qualified Stock Option (right to buy)	07/03/2013 <sup>(4)</sup>	06/02/2020	Common Stock	8,100	\$ 37.64	D	Â
Non-Qualified Stock Option (right to buy)	07/01/2011 <sup>(5)</sup>	05/31/2018	Common Stock	7,400	\$ 53.22	D	Â
Restricted Stock Unit	02/15/2013 <sup>(6)</sup>	02/15/2019	Common Stock	1,250	\$ 0 <sup>(7)</sup>	D	Â
Restricted Stock Unit	06/01/2013 <sup>(8)</sup>	06/01/2019	Common Stock	675	\$ 0 <sup>(7)</sup>	D	Â
Restricted Stock Unit	06/03/2014 <sup>(9)</sup>	06/03/2020	Common Stock	1,350	\$ 0 <sup>(7)</sup>	D	Â
Restricted Stock Unit	06/03/2015 <sup>(10)</sup>	06/03/2021	Common Stock	3,187	\$ 0 <sup>(7)</sup>	D	Â
Restricted Stock Unit	06/01/2016 <sup>(11)</sup>	06/23/2022	Common Stock	6,200	\$ 0 <sup>(7)</sup>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bergmann Jeffrey K 495 EAST JAVA DRIVE SUNNYVALE, CA 94089	Â	Â	Â Interim CFO	Â

## Signatures

By: Roberta S Cohen Attorney-in-Fact For: Jeffrey K. Bergmann 01/14/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On June 1, 2012, the reporting person was granted 8,000 nonqualified stock options, subject to the option vesting monthly in equal installments over four years beginning on July 1, 2012, subject to continued service on each applicable vesting date.
- (2) On June 3, 2014, the reporting person was granted 5,470 nonqualified stock options, subject to the option vesting monthly in equal installments over four years beginning on July 3, 2014, subject to continued service on each applicable vesting date.

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- (3) On June 1, 2010, the reporting person was granted 5,000 nonqualified stock options, subject to the option vesting monthly in equal installments over four years beginning on June 1, 2010, subject to continued service on each applicable vesting date.
- (4) On June 3, 2013, the reporting person was granted 8,100 nonqualified stock options, subject to the option vesting monthly in equal installments over four years beginning on July 3, 2013, subject to continued service on each applicable vesting date.
- (5) On June 1, 2011, the reporting person was granted 7,400 nonqualified stock options, subject to the option vesting monthly in equal installments over four years beginning on July 1, 2011, subject to continued service on each applicable vesting date.
- (6) On February 15, 2012, the reporting person was granted 5,000 restricted stock units, vesting in four equal annual installments beginning on February 15, 2013 and subject to continued service on each applicable vesting date.
- (7) Restricted stock units convert into common stock on a one-for-one basis.
- (8) On June 1, 2012, the reporting person was granted 2,700 restricted stock units, vesting in four equal annual installments beginning on June 1, 2013 and subject to continued service on each applicable vesting date.
- (9) On June 3, 2013, the reporting person was granted 2,700 restricted stock units, vesting in four equal annual installments beginning on June 3, 2014 and subject to continued service on each applicable vesting date.
- (10) On June 3, 2014, the reporting person was granted 4,250 restricted stock units, vesting in four equal annual installments beginning on June 3, 2015, and subject to continued service on each applicable vesting date.
- (11) On June 23, 2015, the reporting person was granted 6,200 restricted stock units, vesting in two equal annual installments beginning on June 1, 2016, subject to continued service on each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.