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STRAKA DONALD J

Form 3

March 02, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

response...

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Great Western Bancorp, Inc. [GWB] STRAKA DONALD J (Month/Day/Year) 02/22/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O GREAT WESTERN (Check all applicable) BANCORP, INC., Â 225 SOUTH MAIN AVENUE 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) General Counsel and Secretary _X_ Form filed by One Reporting Person SIOUX FALLS. SDÂ 57104 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial

1. Title of Security

2. Amount of Securities
Beneficially Owned
(Instr. 4)

Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

Common Stock, par value \$0.01 per share 2,074 D Â

Common Stock, par value \$0.01 per share 200 I IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units - 2015	(1)	(1)	Common Stock, par value \$0.01 per share	109	\$ <u>(2)</u>	D	Â
Restricted Stock Units - 2016	(3)	(3)	Common Stock, par value \$0.01 per share	212	\$ <u>(2)</u>	D	Â
Restricted Stock Units - 2017	(4)	(4)	Common Stock, par value \$0.01 per share	304	\$ <u>(2)</u>	D	Â
Restricted Stock Units (2017 STI)	(5)	(5)	Common Stock, par value \$0.01 per share	359	\$ <u>(2)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
. F	Director	10% Owner	Officer	Other	
STRAKA DONALD J C/O GREAT WESTERN BANCORP, INC. 225 SOUTH MAIN AVENUE SIOUX FALLS, SD 57104	Â	Â	General Counsel and Secretary	Â	

Signatures

Donald J. Straka 03/02/2018

**Signature of Person

Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in three annual equal installments beginning on December 4, 2016. The restricted stock units have no expiration date.
- (2) Each restricted stock unit represents a contingent right to receive one share of the common stock, par value \$0.01 per share, of Great Western Bancorp, Inc.

Reporting Owners 2

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- (3) The restricted stock units vest in three annual equal installments beginning on December 2, 2017. The restricted stock units have no expiration date.
- (4) The restricted stock units vest in three equal installments beginning on December 1, 2018. The restricted stock units have no expiration date.
- (5) The restricted stock units vest on December 1, 2018. The restricted stock units have no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.