Edgar Filing: Spark Therapeutics, Inc. - Form 4

Spark Therap	peutics, Inc.										
Form 4	C										
July 19, 2010									OMB AF	PPROVAL	
FORM	14 UNITEI	O STATES					NGE C	OMMISSION	OMB	3235-0287	
Check this box Washington, D.C.						. 20549			Number:	January 31,	
if no longer subject to Section 16. Form 4 or Form 5 Eiled pursuant to Section 1				SECUR	ITIES				Expires: Estimated a burden hou response	2005 average	
obligation may cont <i>See</i> Instru 1(b).	inue. Section 17	7(a) of the	Public Ut		ling Con	ipany	Act of	e Act of 1934, 1935 or Section 0	1		
(Print or Type I	Responses)										
Vivaldi Coelho Rogerio Syml			Symbol	2. Issuer Name and Ticker or Trading mbol park Therapeutics, Inc. [ONCE]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	•	Earliest Tra	_	0110	,L)	(Checl	k all applicable	;)	
C/O SPARE INC., 3737	K THERAPEUT MARKET STR	ΓICS,	(Month/D 07/15/20	ay/Year)	ansaction			Director X_Officer (give below) Chief Co		Owner er (specify cer	
SUITE 1300)										
	(Street)			ndment, Dat hth/Day/Year)	-			6. Individual or Jo Applicable Line) _X_ Form filed by C			
PHILADEL	PHIA, PA 1910	04						Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Execution any	emed on Date, if Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	07/15/2016			М	1,000	Α	\$ 3.45	19,716	D		
Common Stock	07/15/2016			М	1,000	A	\$ 3.45	20,716	D		
Common Stock	07/15/2016			М	5,000	A	\$ 7.5	25,716	D		
Common Stock	07/15/2016			S <u>(1)</u>	5,600	D	\$ 52.96 (2)	20,116	D		

S(1)

1,400 D

07/15/2016

18,716

D

Common	\$
Stock	53.32 (<u>3)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	ivative Expiration Date ties (Month/Day/Year) red		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I Der Sec (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 3.45	07/15/2016		М	1,000	<u>(4)</u>	06/10/2024	Common Stock	1,000	
Stock Option (right to buy)	\$ 3.45	07/15/2016		М	1,000	(5)	08/11/2024	Common Stock	1,000	
Stock Option (right to buy)	\$ 7.5	07/15/2016		М	5,000	<u>(6)</u>	12/01/2024	Common Stock	5,000	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Vivaldi Coelho Rogerio C/O SPARK THERAPEUTICS, INC. 3737 MARKET STREET, SUITE 1300 PHILADELPHIA, PA 19104			Chief Commercial Officer				

Signatures

/s/ Joseph W. La Barge, attorney-in-fact for Rogerio Vivaldi

07/19/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in the Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on October 16, 2015.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.25 to \$53.24, inclusive. The reporting person undertakes to provide to Spark Therapeutics, Inc., any security holder of Spark Therapeutics,

(2) In system of the reporting person undertakes to provide to spark interapeutes, inc., any security noder of spark interapeutes, inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$53.25 to \$53.50, inclusive. The reporting person undertakes to provide to Spark Therapeutics, Inc., any security holder of Spark Therapeutics,

- (3) Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (3) to this Form 4.
- (4) This option was granted on June 10, 2014 and vests over four years, with 25% of the shares underlying the option vesting on April 1, 2015 and the remainder vesting quarterly thereafter.
- (5) This option was granted on August 11, 2014 and vests over four years with 25% of the shares underlying the option vesting on May 24, 2015 and the remainder vesting quarterly thereafter.
- (6) This option was granted on December 1, 2014 and vests over four years, with 25% of the shares underlying the option vesting on April 1, 2015 and the remainder vesting quarterly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.