Synchrony Financial Form 4 October 04, 2016

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

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response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* SNOWE OLYMPIA J.

2. Issuer Name and Ticker or Trading Symbol

Issuer

Synchrony Financial [SYF]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(First) (Middle) (Last)

(Month/Day/Year) 09/30/2016

3. Date of Earliest Transaction

X\_ Director 10% Owner Officer (give title Other (specify

C/O SYNCHRONY FINANCIAL, 777 LONG RIDGE **ROAD** 

(State)

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

STAMFORD, CT 06902

(City)

1. Title of

Security

(Instr. 3)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Zip)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4) Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Common 09/30/2016 Stock

983 (1) A A \$ 28 3,474

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Synchrony Financial - Form 4

| Derivative | Deriv  |
|------------|--------|
| Security   | Secu   |
| (Instr. 5) | Bene   |
|            | Own    |
|            | Follo  |
|            | Repo   |
|            | Trans  |
|            | (Instr |
|            |        |
|            |        |
|            |        |
|            |        |
|            |        |
|            |        |
|            |        |
|            | ,      |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |
| SNOWE OLYMPIA J.               |               |           |         |       |
| C/O SYNCHRONY FINANCIAL        | X             |           |         |       |
| 777 LONG RIDGE ROAD            |               |           |         |       |

# **Signatures**

STAMFORD, CT 06902

/s/ Danielle Do 10/04/2016

\*\*Signature of Pate
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units that will vest in full on September 30, 2019. Each restricted stock unit represents a contingent right to receive one share of Synchrony Financial common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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