

Marcus Gregory S  
Form 4  
April 01, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Marcus Gregory S

(Last) (First) (Middle)

THE MARCUS  
CORPORATION, 100 E.  
WISCONSIN AVE., SUITE 1900

(Street)

MILWAUKEE, WI 53202

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
MARCUS CORP [MCS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/28/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_\_ Other (specify below)  
President and CEO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	03/28/2019		M		8,411	A	\$ 11.89
Common Stock	03/28/2019		F		3,503	D	\$ 40.24
Common Stock							75
Common Stock							7,515.62 <sup>(2)</sup>
							As custodian <sup>(1)</sup>
							By 401(k) Plan

# Edgar Filing: Marcus Gregory S - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (right to buy) (granted 7/28/09)	\$ 13.34					(3) 07/28/2019	Common Stock	12,496
Stock Option (right to buy) (granted 7/27/10)	\$ 11.89	03/28/2019		M	8,411	(3) 07/27/2020	Common Stock	8,411
Stock Option (right to buy) (granted 7/26/11)	\$ 10					(3) 07/26/2021	Common Stock	60,000
Stock Option (right to buy) (granted 7/31/12)	\$ 13.12					(3) 07/31/2022	Common Stock	52,000
Stock Option (right to buy) (granted	\$ 13.04					(3) 07/30/2023	Common Stock	57,500

7/30/13)						
Stock Option (right to buy) (granted 7/29/14)	\$ 18.34	<u>(3)</u>	07/29/2024	Common Stock	49,500	
Stock Option (right to buy) (granted 7/28/15)	\$ 20.26	<u>(3)</u>	07/28/2025	Common Stock	44,100	
Stock Option (right to buy) (granted 3/1/16)	\$ 18.68	<u>(3)</u>	03/01/2026	Common Stock	28,500	
Stock Option (right to buy) (granted 2/28/17)	\$ 31.2	<u>(3)</u>	02/28/2027	Common Stock	40,000	
Stock Option (right to buy) (granted 2/27/18)	\$ 27	<u>(4)</u>	02/27/2028	Common Stock	75,000	
Stock Option (right to buy) (granted 2/26/19)	\$ 41.9	<u>(4)</u>	02/26/2029	Common Stock	70,200	
Class B Common Stock	<u>(5)</u>	<u>(6)</u>	<u>(7)</u>	Common Stock	191,056	
Class B Common Stock	<u>(5)</u>	<u>(6)</u>	<u>(7)</u>	Common Stock	10,786	
Class B Common Stock	<u>(5)</u>	<u>(6)</u>	<u>(7)</u>	Common Stock	8,388	

Class B					
Common	<u>(5)</u>		<u>(6)</u>	<u>(7)</u>	Common
Stock					Stock 18,233

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Marcus Gregory S THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202			President and CEO	

## Signatures

/s/ Steven R. Barth, Attorney-in-Fact for Gregory S. Marcus

04/01/2019

Signature of Reporting Person

Date \_\_\_\_\_

### Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As sole custodian of the Alexandra Marcus U/WI/UTMA.
- (2) Balance reflects the most current data available with regard to the reporting person's holdings in the 401(k) Plan.
- (3) The options originally granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.
- (4) The options originally granted vest and become exercisable as follows: 50% after 2nd anniversary of the date of grant; 75% after 3rd anniversary; and 100% after 4 years.
- (5) This security is convertible into common stock on a 1-for-1 basis at no cost.
- (6) This security is immediately exercisable.
- (7) No expiration date.
- (8) As sole custodian of the Alexandra Marcus U/WI/UTMA, the Michael Marcus U/WI/UTMA, and the Samantha Marcus U/WI/UTMA.
- (9) As trustee of the Gabriella Marcus Trust, the Daniella Marcus Trust and the Jessica Marcus Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.