NEIS DOUGLAS A

Form 4

February 28, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **NEIS DOUGLAS A**

2. Issuer Name and Ticker or Trading

Symbol

MARCUS CORP [MCS]

3. Date of Earliest Transaction

02/26/2019

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

THE MARCUS

(City)

(Last)

CORPORATION, 100 E.

WISCONSIN AVE., SUITE 1900

(Month/Day/Year)

Director 10% Owner X_ Officer (give title Other (specify below)

CFO and Treasurer

(Street)

(State)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

MILWAUKEE, WI 53202

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common

(Middle)

(Zip)

29,528 D

Common Stock

Stock

by Neis 48,439 Ι Revocable

trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Edgar Filing: NEIS DOUGLAS A - Form 4

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		3		
				Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy) (granted 2/26/19)	\$ 41.9	02/26/2019		A	:	21,900		<u>(1)</u>	02/26/2029	Common Stock	21,900	
Stock Option (right to buy) (granted 7/28/09)	\$ 13.34							<u>(1)</u>	07/28/2019	Common Stock	13,179	
Stock Option (right to buy) (granted 7/27/10)	\$ 11.89							<u>(1)</u>	07/27/2020	Common Stock	8,423	
Stock Option (right to buy) (granted 7/26/11)	\$ 10							<u>(1)</u>	07/26/2021	Common Stock	9,000	
Stock Option (right to buy) (granted 7/31/12)	\$ 13.12							<u>(1)</u>	07/31/2022	Common Stock	19,500	
Stock Option (right to buy) (granted	\$ 13.04							<u>(1)</u>	07/30/2023	Common Stock	21,000	

Edgar Filing: NEIS DOUGLAS A - Form 4

7/30/13)					
Stock Option (right to buy) (granted 7/29/14)	\$ 18.34	<u>(1)</u>	07/29/2024	Common Stock	16,400
Stock Option (right to buy) (granted 7/28/15)	\$ 20.26	<u>(1)</u>	07/28/2025	Common Stock	17,400
Stock Option (right to buy) (granted 3/1/16)	\$ 18.68	<u>(1)</u>	03/01/2026	Common Stock	11,150
Stock Option (right to buy) (granted 2/28/17)	\$ 31.2	<u>(1)</u>	02/28/2027	Common Stock	16,500
Stock Option (right to buy) (granted 2/27/18)	\$ 27	<u>(1)</u>	02/27/2028	Common Stock	23,500

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
NEIS DOUGLAS A THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202			CFO and Treasurer				
Signatures							

/s/ Steven R. Barth, Attorney-in-Fact for Douglas A.
Neis 02/28/2019

**Signature of Reporting Person Date

Reporting Owners 3

Edgar Filing: NEIS DOUGLAS A - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options originally granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.