

CURLEY KEVIN M  
Form 4  
August 28, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CURLEY KEVIN M

2. Issuer Name and Ticker or Trading Symbol  
TRUSTCO BANK CORP N Y  
[TRST]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
SENIOR VICE PRESIDENT

C/O TRUSTCO BANK CORP NY, 5  
SARNOWSKI DRIVE  
  
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

GLENVILLE, NY 12302

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
COMMON STOCK	08/24/2018		M		4,500	A	\$ 5.17	84,254	D
COMMON STOCK	08/24/2018		M		8,800	A	\$ 7.05	93,054	D
COMMON STOCK	08/24/2018		M		2,800	A	\$ 7.22	95,854	D
COMMON STOCK	08/24/2018		F		11,226	D	\$ 9.4	84,628	D
COMMON STOCK								764	I BY WIFE

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
OPTION: RT-TO-BUY	\$ 5.17	08/24/2018		M	4,500	<u>(1)</u> 11/20/2022	COMMON STOCK 4,500
OPTION: RT-TO-BUY	\$ 7.05	08/24/2018		M	8,800	<u>(2)</u> 11/19/2023	COMMON STOCK 8,800
OPTION: RT-TO-BUY	\$ 7.22	08/24/2018		M	2,800	<u>(3)</u> 11/18/2024	COMMON STOCK 2,800

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director 10% Owner Officer Other

CURLEY KEVIN M  
C/O TRUSTCO BANK CORP NY  
5 SARNOWSKI DRIVE  
GLENVILLE, NY 12302

SENIOR VICE PRESIDENT

## Signatures

/S/ MICHAEL M. OZIMEK, BY POWER OF ATTORNEY

08/28/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) AWARD VESTS IN EQUAL INSTALLMENTS FROM THE FIRST THROUGH FIFTH YEAR FOLLOWING THE AWARD DATE, BEGINNING NOVEMBER 20, 2013.

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- (2) AWARD VESTS IN EQUAL INSTALLMENTS FROM THE FIRST THROUGH FIFTH YEAR FOLLOWING THE AWARD DATE, BEGINNING NOVEMBER 19, 2014.
- (3) AWARD VESTS IN EQUAL INSTALLMENTS FROM THE FIRST THROUGH FIFTH YEAR FOLLOWING THE AWARD DATE, BEGINNING NOVEMBER 18, 2015.

**Remarks:**

ADDITIONAL SHARES ACQUIRED BY REPORTING PERSON'S PARTICIPATION IN DIVIDEND REINVESTMENT

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.