

Doktycz Stephen J
Form 4
March 06, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Doktycz Stephen J

2. Issuer Name and Ticker or Trading Symbol
LyondellBasell Industries N.V.
[LYB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
4TH FLOOR, ONE VINE STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
03/04/2019

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)
SVP, SP&T

LONDON, X0 W1J 0AH

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount (A) or (D) Price | | |
| Class A Ordinary Shares | 03/04/2019 | | F | | 271 <u>(1)</u> D \$ 85.1 | 5,103.713 | D |
| Class A Ordinary Shares | 03/04/2019 | | F | | 127 <u>(2)</u> D \$ 85.1 | 4,976.713 | D |
| Class A Ordinary Shares | 03/04/2019 | | F | | 153 <u>(3)</u> D \$ 85.1 | 4,823.713 | D |
| Class A Ordinary Shares | 03/04/2019 | | D | | 227 <u>(4)</u> D \$ 0 <u>(5)</u> | 4,596.713 | D |

Shares

| | | | | | | | | |
|-------------------------------|------------|--|---|--------------------|---|--------------------|-----------|---|
| Class A Ordinary Shares | 03/04/2019 | | D | 986 ⁽⁶⁾ | D | \$ 0 <u>(5)</u> | 3,610.713 | D |
| Class A Ordinary Shares | 03/04/2019 | | D | 488 ⁽⁷⁾ | D | \$ 0 <u>(5)</u> | 3,122.713 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------|-------|
| | Director | 10% Owner | Officer | Other |
| Doktycz Stephen J 4TH FLOOR ONE VINE STREET LONDON, X0 W1J 0AH | | | SVP, SP&T | |

Signatures

/s/ Lara A. Mason,
Attorney-in-Fact

03/06/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld by the issuer to satisfy tax withholding obligations in connection with the pro-rata vesting of 1,110 shares of restricted stock units granted to the reporting person on March 1, 2017.
- (2) Represents shares withheld by the issuer to satisfy tax withholding obligations in connection with the pro-rata vesting of 518 shares of restricted stock units granted to the reporting person on March 1, 2017.
- (3) Represents shares withheld by the issuer to satisfy tax withholding obligations in connection with the pro-rata vesting of 628 shares of restricted stock units granted to the reporting person on February 21, 2018.
- (4) The reporting person's employment with the company ended on March 5, 2019 and, pursuant to the terms of his restricted stock unit award, forfeited the 227 shares reported on this Form 4.
- (5) Price is not applicable.
- (6) The reporting person's employment with the company ended on March 5, 2019 and, pursuant to the terms of his restricted stock unit award, forfeited the 986 shares reported on this Form 4.
- (7) The reporting person's employment with the company ended on March 5, 2019 and, pursuant to the terms of his restricted stock unit award, forfeited the 488 shares reported on this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.