Chapman John SR Form 4 February 22, 2013

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

OMB 3235-0287 Number:

**OMB APPROVAL** 

January 31,

2005

Expires: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

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response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \*

Chapman John SR

(First)

(Middle)

970 EAST 64TH STREET

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

SIFCO INDUSTRIES INC [sif]

3. Date of Earliest Transaction (Month/Day/Year) 02/20/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X\_ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

CLEVELAND, OH 44103

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

(A)

5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

Ownership Form: Direct (D) or Indirect

(Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

or (Instr. 3 and 4) Code V Amount (D) Price

**SIFCO** 

Industries,

Common Stock

Inc.

02/20/2013

3.940

7,630

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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### Edgar Filing: Chapman John SR - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.         | 6. Date Exer |                 | 7. Title a |        | 8. Price of | 9. Nu   |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-----------------|------------|--------|-------------|---------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if |            | onNumber   | Expiration D |                 | Amount     |        | Derivative  | Deriv   |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)           | Underly    | _      | Security    | Secui   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |                 | Securitie  | es     | (Instr. 5)  | Bene    |
|             | Derivative  |                     |                    |            | Securities | S            |                 | (Instr. 3  | and 4) |             | Own     |
|             | Security    |                     |                    |            | Acquired   |              |                 |            |        |             | Follo   |
|             | ,           |                     |                    |            | (A) or     |              |                 |            |        |             | Repo    |
|             |             |                     |                    |            | Disposed   |              |                 |            |        |             | Trans   |
|             |             |                     |                    |            | of (D)     |              |                 |            |        |             | (Instr  |
|             |             |                     |                    |            | (Instr. 3, |              |                 |            |        |             | (IIISti |
|             |             |                     |                    |            | 4, and 5)  |              |                 |            |        |             |         |
|             |             |                     |                    |            | 4, and 3)  |              |                 |            |        |             |         |
|             |             |                     |                    |            |            |              |                 | A          | mount  |             |         |
|             |             |                     |                    |            |            | D.           | E               | 01         | r      |             |         |
|             |             |                     |                    |            |            |              | Expiration Date | Title N    | umber  |             |         |
|             |             |                     |                    |            |            |              |                 | of         |        |             |         |
|             |             |                     |                    | Code V     | (A) (D)    |              |                 |            | hares  |             |         |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| •                              | Director      | 10% Owner | Officer | Other |  |  |  |
| Chanasa Ialas CD               |               |           |         |       |  |  |  |

Chapman John SR 970 EAST 64TH STREET X CLEVELAND, OH 44103

### **Signatures**

Remigijus Belzinskas, by Power of 02/22/2013 Attorney

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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