

PETERSON JEFFREY N
Form 4
December 21, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PETERSON JEFFREY N

2. Issuer Name and Ticker or Trading Symbol
PRESSURE BIOSCIENCES INC
[PBIO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
110 WINCHESTER CT.

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/19/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

FOSTER CITY, CA 94404

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-----|-------|
| | | | | (A) or (D) | Code | V | Amount | (D) | Price |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|

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| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date Exercisable | Expiration Date | Title | An or Nu of Sh |
|----------------------------|------------------------------|---------------------------|------------|--|-------|------------------|-----------------|--------------|----------------|
| | | | | Code | V | | | | |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | D | | 334 | <u>(1)</u> | 07/18/2028 | Common Stock | |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | A | | 334 | <u>(2)</u> | 12/19/2028 | Common Stock | |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | D | | 959 | <u>(1)</u> | 07/18/2028 | Common Stock | |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | A | | 959 | <u>(2)</u> | 12/19/2028 | Common Stock | |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | D | | 500 | <u>(1)</u> | 07/18/2028 | Common Stock | |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | A | | 500 | <u>(2)</u> | 12/19/2028 | Common Stock | |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | D | | 334 | <u>(1)</u> | 07/18/2028 | Common Stock | |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | A | | 334 | <u>(2)</u> | 12/19/2028 | Common Stock | |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | D | | 6,467 | <u>(1)</u> | 07/18/2028 | Common Stock | 6 |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | A | | 6,467 | <u>(2)</u> | 12/19/2028 | Common Stock | 6 |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | D | | 7,500 | <u>(1)</u> | 07/18/2028 | Common Stock | 7 |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | A | | 7,500 | <u>(2)</u> | 12/19/2028 | Common Stock | 7 |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | D | | 7,500 | <u>(1)</u> | 07/18/2028 | Common Stock | 7 |
| Non-Qualified Stock Option | \$ 3.4 | 12/19/2018 ⁽¹⁾ | A | | 7,500 | <u>(2)</u> | 12/19/2028 | Common Stock | 7 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| | | | | X |

PETERSON JEFFREY N
110 WINCHESTER CT.
FOSTER CITY, CA 94404

Signatures

/s/ Jeffrey N
Peterson

12/21/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported transactions involved an amendment of an outstanding stock option, resulting in the deemed cancellation of the old stock

- (1) option granted on July 18, 2018 and the grant of a replacement stock option dated December 19, 2018, which includes the same exercise price of \$3.40 and a new expiration date of December 19, 2028.
- (2) Stock options vest 5/12th for the first month then 1/12th per month for the remaining months for a total of 12 months.
- (3) 2015 Nonqualified Stock Option Plan.
- (4) 2013 Equity Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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