

REEDER JOE  
Form 4  
October 05, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

**OMB APPROVAL**

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Number: 3235-0287  
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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**REEDER JOE**

2. Issuer Name **and** Ticker or Trading  
Symbol

**PERMA FIX ENVIRONMENTAL  
SERVICES INC [PESI]**

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

10/02/2017

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

8302 DUNWOODY  
PLACE,, SUITE 250

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

ATLANTA, GA 30350

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of<br>(D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                    | V                                                                          | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                |                                                                   |
| Common<br>Stock                       | 10/02/2017                              |                                                             | A                                       |                                                                            | 3,509                                                                                                              | A<br>\$<br>2.85                                                      | 133,413<br>D                                                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: REEDER JOE - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>of<br>Derivative<br>Security<br>(Instr. 5) |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                                     | (A)                                                            | (D)                                                                 |                                                        |
| Stock<br>Option                                     | \$ 11.7                                                               |                                         |                                                             |                                         |                                                                                                                       | (1)                                                            | 08/05/2018                                                          | Common<br>Stock 2,400                                  |
| Stock<br>Option                                     | \$ 13.35                                                              |                                         |                                                             |                                         |                                                                                                                       | (2)                                                            | 07/29/2019                                                          | Common<br>Stock 2,400                                  |
| Stock<br>Option                                     | \$ 8.4                                                                |                                         |                                                             |                                         |                                                                                                                       | (3)                                                            | 09/29/2020                                                          | Common<br>Stock 2,400                                  |
| Stock<br>Option                                     | \$ 7.05                                                               |                                         |                                                             |                                         |                                                                                                                       | (4)                                                            | 08/24/2021                                                          | Common<br>Stock 2,400                                  |
| Stock<br>Option                                     | \$ 5.5                                                                |                                         |                                                             |                                         |                                                                                                                       | (5)                                                            | 09/13/2022                                                          | Common<br>Stock 2,400                                  |
| Stock<br>Option                                     | \$ 2.79                                                               |                                         |                                                             |                                         |                                                                                                                       | (6)                                                            | 09/12/2023                                                          | Common<br>Stock 2,400                                  |
| Stock<br>Option                                     | \$ 3.7                                                                |                                         |                                                             |                                         |                                                                                                                       | (7)                                                            | 09/18/2024                                                          | Common<br>Stock 2,400                                  |
| Stock<br>Option                                     | \$ 4.19                                                               |                                         |                                                             |                                         |                                                                                                                       | (8)                                                            | 09/17/2025                                                          | Common<br>Stock 2,400                                  |
| Stock<br>Option                                     | \$ 4.6                                                                |                                         |                                                             |                                         |                                                                                                                       | (9)                                                            | 07/28/2026                                                          | Common<br>Stock 2,400                                  |
| Stock<br>Option                                     | \$ 3.55                                                               |                                         |                                                             |                                         |                                                                                                                       | (10)                                                           | 07/27/2027                                                          | Common<br>Stock 2,400                                  |

## Reporting Owners

| Reporting Owner Name / Address                                       | Relationships |           |         |       |
|----------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                      | Director      | 10% Owner | Officer | Other |
| REEDER JOE<br>8302 DUNWOODY PLACE,<br>SUITE 250<br>ATLANTA, GA 30350 | X             |           |         |       |

## Signatures

/s/ Joe Reeder

10/05/2017

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Stock Option granted 08/05/08 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (2) Stock Option granted 07/29/09 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (3) Stock Option granted 09/29/10 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (4) Stock Option granted 08/24/11 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (5) Stock Option granted 09/13/12 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (6) Stock Option granted 09/12/13 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (7) Stock Option granted 09/18/14 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (8) Stock Option granted 09/17/15 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (9) Stock Option granted 07/28/16 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (10) Stock Option granted 07/27/17 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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