

POLARITYTE, INC.
Form 4
March 30, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Dyer Jeffrey Hansen

(Last) (First) (Middle)

C/O POLARITYTE INC. 4041-T
HADLEY ROAD

(Street)

S. PLAINFIELD, NJ 07080

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
POLARITYTE, INC. [COOL]

3. Date of Earliest Transaction
(Month/Day/Year)
03/28/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 03/28/2017 | | P | | 4,912 | A | \$ 10.34 (1) |
| Common Stock | 03/29/2017 | | P | | 700 | A | \$ 11.24 |
| Common Stock | 03/29/2017 | | P | | 500 | A | \$ 11.67 |
| Common Stock | 03/29/2017 | | P | | 200 | A | \$ 11.72 |
| | | | | | | | See Footnote (2) |
| | | | | | | | See Footnote (3) |
| | | | | | | | See Footnote |

| | | | | | | | | |
|--------------|------------|---|-------|---|--------------------|-------|---|-------------------------------|
| Common Stock | 03/29/2017 | P | 1,740 | A | \$ 11.35 (4) | 1,740 | I | (3) See Footnote (5) |
|--------------|------------|---|-------|---|--------------------|-------|---|-------------------------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Dyer Jeffrey Hansen
C/O POLARITYTE INC. 4041-T HADLEY ROAD X
S. PLAINFIELD, NJ 07080

Signatures

/s/ Jeff Dyer 03/30/2017

__Signature of Date
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This sale price reflects a weighted average of multiple prices ranging from \$10.17 to \$10.47. Full information regarding the number of (1) shares sold at each price will be provided to the Securities and Exchange Commission staff, the issuer or any security holder, upon request.

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(2) Shares are held by Mr. Dyer as UGMA custodian for Bradlee Danielle Dyer.

(3) Shares are held by Mr. Dyer as UGMA custodian for Jeffrey Braze Dyer.

This sale price reflects a weighted average of multiple prices ranging from \$11.35 to \$11.39. Full information regarding the number of

(4) shares sold at each price will be provided to the Securities and Exchange Commission staff, the issuer or any security holder, upon request.

(5) Shares are held by Mr. Dyer as UTMA custodian for McKenzie Dyer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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